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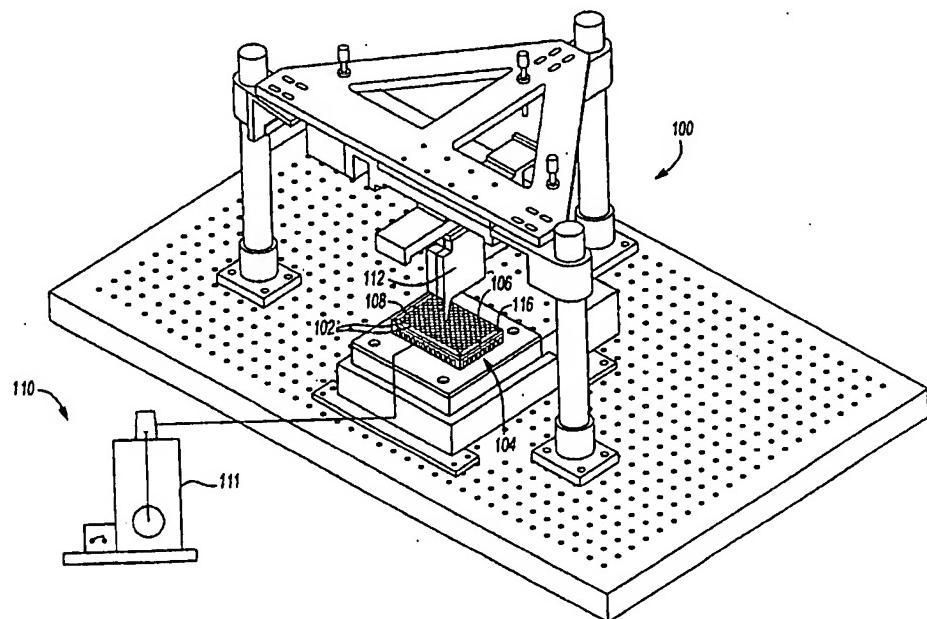
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(54) Title: HIGH THROUGHPUT MECHANICAL PROPERTY TESTING OF MATERIALS LIBRARIES USING FLUID, VOLTAGE, AND PIEZOELECTRIC

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(57) Abstract: A method for high throughput mechanical property testing of materials libraries. A suitable system, such as an automated system 10, is provided. A plurality of samples on a substrate are monitored for their response to a force directed by a Force Application source (FAS) 18, where the force applied is selected from the group consisting of a fluid, a voltage, a piezoelectric, and a combination thereof.

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5      **High Throughput Mechanical Property Testing of Materials Libraries Using  
Fluid, Voltage, And Piezoelectric**

TECHNICAL FIELD

The present invention generally relates to the field of materials  
10     characterization. In particular, the invention relates to high throughput screens for evaluating mechanical or physical properties of libraries of polymers or other materials.

BACKGROUND OF THE INVENTION

15     Currently, there is substantial research activity directed toward the discovery and optimization of polymeric materials for a wide range of applications. Although the chemistry of many polymers and polymerization reactions has been extensively studied, nonetheless, it is rarely possible to predict a priori the physical or chemical properties a particular polymeric material will possess or the precise composition and  
20     architecture that will result from any particular synthesis scheme. Thus, characterization techniques to determine such properties are an essential part of the discovery process.

Combinatorial chemistry refers generally to methods for synthesizing a collection of chemically diverse materials and to methods for rapidly testing or screening this collection of materials for desirable performance characteristics and properties. Combinatorial chemistry approaches have greatly improved the efficiency of discovery of useful materials. For example, material scientists have developed and applied combinatorial chemistry approaches to discover a variety of novel materials, including for example, high temperature superconductors, 25     magnetoresistors, phosphors and catalysts. See, for example, U.S. Patent No. 5,776,359 (Schultz, et al). In comparison to traditional materials science research, combinatorial materials research can effectively evaluate much larger numbers of diverse compounds in a much shorter period of time. Although such high-throughput synthesis and screening methodologies are conceptually promising, substantial  
30     technical challenges exist for application thereof to specific research and commercial goals.  
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5        The characterization of polymers or other materials using combinatorial methods has only recently become known. Examples of such technology are disclosed, for example, in commonly owned U.S. Patent Nos. 6,182,499 (McFarland, et al); 6,175,409 B1 (Nielsen, et al); 6,157,449 (Hajduk); 6,151,123 (Nielsen); 6,034,775 (McFarland, et al); 5,959,297 (Weinberg, et al), all of which are hereby 10 expressly incorporated by reference herein.

15      Of particular interest to the present invention are combinatorial methods and apparatuses for screening polymers and other materials for physical or mechanical characteristics. Screening of the materials for mechanical properties presents a multitude of challenges. As an example, conventional instruments, such as conventional stress or strain testing machines and other apparatuses traditionally lack the ability to screen mechanical properties of several materials in rapid succession, in parallel, on a single substrate or a combination thereof. Thus, challenges are presented for forming systems that can quickly process and test (either in parallel or in serial succession) mechanical properties of many materials.

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#### SUMMARY OF THE INVENTION

The present invention provides instruments and methods for screening combinatorial libraries that addresses many of the problems encountered when using conventional instruments. For example, the disclosed instruments can 25 measure mechanical properties of library members in rapid serial or parallel test format, and can perform tests on small amounts of material, which are easily prepared or dispensed using art-disclosed liquid or solid handling techniques. Compared to conventional instruments, the disclosed instruments afford faster sample loading and unloading, for example, through the use of disposable libraries 30 of material samples.

Thus, one aspect of the present invention provides instruments for measuring mechanical properties of a combinatorial library of materials. The instruments include at least one mounting member to which the library of material samples is 35 removably secured for testing; at least one source selected from the group consisting of a fluid, a voltage, a piezoelectric and a combination thereof for

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- 5 delivering one or more forces to each library member; and at least one sensing device for monitoring the response each library member to the one or more forces.

Another aspect of the present invention provides methods of screening a combinatorial library of materials. In a preferred embodiment, the methods include providing a combinatorial library of materials comprising at least four different samples, and delivering one or more forces to at least two of the samples simultaneously by a source selected from the group consisting of a fluid, a voltage, a piezoelectric and a combination thereof. The methods further include monitoring the response of each library member to the one or more forces. In another preferred embodiment, the method includes providing a combinatorial library of materials having at least four different samples; delivering one or more force to each of the samples serially by a source selected from the group consisting of a fluid, a voltage, a piezoelectric, and a combination thereof; and monitoring the response of each library member to the one or more forces at a throughput rate no greater than about 10 minutes per sample. Depending on the type of force applied, the methods can screen libraries of materials for a variety of mechanical properties related to Young's modulus (e.g., flexure, uniaxial extension, biaxial compression, and shear), failure (stress and strain at failure, toughness), adhesion, or others.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows a flowchart of possible steps for methods of the present invention.

FIG. 2A shows a block diagram of a potential platform system for executing methods and for operating systems of the present invention.

30 FIG. 2B shows a flowchart of the general steps for the methods of the present invention.

FIG. 3 shows a perspective view of one embodiment of a bulge test instrument that can be used for high throughput mechanical property screening.

35 FIG. 4 shows a perspective view of one embodiment of a vessel that can be used in the bulge test instrument shown in FIG. 3.

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5 FIG. 5 shows a perspective view of one embodiment of a substrate that can  
be used in the bulge test instrument.

FIG. 6 shows a perspective view of one embodiment of a capacitive pull-in  
instrument that can be used for high throughput mechanical property screening.

10 FIG. 7 shows a perspective view of one embodiment of a piezoelectric  
instrument that can be used for high throughput mechanical property screening.

FIG. 8 shows a view of one end of an embodiment of a piezoelectric bender  
that can be used in the piezoelectric instrument shown in FIG. 7.

15 FIG. 9 shows a side view of one embodiment of a piezoelectric bender that  
can be used in the piezoelectric instrument shown in FIG. 7.

FIG. 10 shows the response of the piezoelectric bender shown in FIG. 9 when  
15 voltage is applied to it.

FIG. 11 shows a schematic view of one embodiment of a bulge test  
instrument with an environmental chamber.

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## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

### Glossary

The following terms are intended to have the following general meanings as  
25 they are used herein.

1. Fluid: The term "fluid" refers to a gas or a liquid.
2. Mixture: The term "mixture" refers to a collection of molecules, ions,  
electrons, chemical substances, etc. Each component in the mixture can be  
independently varied. A mixture can consist of two or more substances intermingled  
30 with no constant percentage composition, wherein each component may or may not  
retain its essential original properties, and where molecular phase mixing may or  
may not occur. In mixtures, the components making up the mixture may or may not  
remain distinguishable from each other by virtue of their chemical structure.

These and other aspects of the invention are to be considered exemplary and  
35 non-limiting, and are discussed in greater detail below. The several aspects of the  
characterization instruments and methods disclosed and claimed herein can be

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5 advantageously employed separately, or in combination to efficiently characterize a variety of materials, with particular emphasis on solid materials and polymeric materials. In preferred embodiments, these features are employed in combination to form a materials characterization system that can operate as a high-throughput screen in a combinatorial materials science research program directed to identifying and optimizing new materials. Such materials appropriate for combinatorial research 10 may include, for instance, polymers, catalysts, products of various polymerization reaction conditions, lubricants, gels, adhesives, coatings and/or products of new post-synthesis processing conditions. Other materials appropriate for combinatorial research according to the present invention may include, but are not limited to, 15 foodstuffs, cosmetics, beverages, lotions, creams, pharmaceuticals, inks, body fluids, fuels, additives, detergents, surfactants, shampoos, conditioners, dyes, waxes, electrolytes, fuel cell electrolytes, photoresist, semiconductor material, wire coatings, hair styling products and the like.

20 **Combinatorial Approaches for Science Research**

In a combinatorial approach for identifying or optimizing materials or reactions, a large compositional space (e.g., in the context of polymers; of monomers, comonomers, catalysts, catalyst precursors, solvents, initiators, additives, or of relative ratios of two or more of the aforementioned) or a large 25 reaction condition space (e.g., of temperature, pressure and reaction time) may be rapidly explored by preparing libraries and then rapidly screening such libraries. By way of illustration, polymer libraries can comprise, for example, polymerization product mixtures resulting from polymerization reactions that are varied with respect to such factors.

30 For example, in the context of polymers (but also applicable to other materials), combinatorial approaches for screening a polymer library can include an initial, primary screening, in which polymerization products are rapidly evaluated to provide valuable preliminary data and, optimally, to identify several "hits" --particular candidate materials having characteristics that meet or exceed certain 35 predetermined metrics (e.g., performance characteristics, desirable properties, unexpected and/or unusual properties, etc.). Such metrics may be defined, for

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5 example, by the characteristics of a known or standard polymer or polymerization scheme. Because local performance maxima may exist in compositional spaces between those evaluated in the primary screening of the first libraries or alternatively, in process-condition spaces different from those considered in the first screening, it may be advantageous to screen more focused polymer libraries (e.g., libraries focused on a smaller range of compositional gradients, or libraries comprising compounds having incrementally smaller structural variations relative to those of the identified hits) and additionally or alternatively, subject the initial hits to variations in process conditions. Hence, a primary screen can be used reiteratively to explore localized and/or optimized compositional space in greater detail. The preparation and evaluation of more focused polymer libraries can continue as long as the high-throughput primary screen can meaningfully distinguish between neighboring library compositions or compounds.

Once one or more hits have been satisfactorily identified based on the primary screening, polymer and polymerization product libraries focused around the primary-screen hits can be evaluated with a secondary screen—a screen designed to provide (and typically verified, based on known materials, to provide) chemical composition or process conditions that relate with a greater degree of confidence to commercially-important processes and conditions than those applied in the primary screen. In many situations, such improved "real-world-modeling" considerations are incorporated into the secondary screen at the expense of methodology speed (e.g., as measured by sample throughput) compared to a corresponding primary screen. Particular polymer materials, catalysts, reactants, polymerization conditions or post-synthesis processing conditions having characteristics that surpass the predetermined metrics for the secondary screen may then be considered to be "leads." If desired, additional polymer or polymerization product libraries focused about such lead materials can be screened with additional secondary screens or with tertiary screens. Identified lead polymers, monomers, catalysts, catalyst precursors, initiators, additives or reaction conditions may be subsequently developed for commercial applications through traditional bench-scale and/or pilot scale experiments.

While the concept of primary screens and secondary screens as outlined

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5 above provides a valuable combinatorial research model for investigating polymers and polymerization reactions, a secondary screen may not be necessary for certain chemical processes where primary screens provide an adequate level of confidence as to scalability and/or where market conditions warrant a direct development approach. Similarly, where optimization of materials having known properties of  
10 interest is desired, it may be appropriate to start with a secondary screen. In general, the systems, devices and methods of the present invention may be applied as either a primary, secondary or other screen, depending on the specific research program and goals thereof. See, generally, U.S. Patent Application Serial No. 09/227,558 entitled "Apparatus and Method of Research for Creating and Testing  
15 Novel Catalysts, Reactions and Polymers", filed January 8, 1999 by Turner, et al., for further discussion of a combinatorial approach to polymer science research. Bulk quantities of a particular material may be made after a primary screen, a secondary screen, or both.

According to the present invention, methods, systems and devices are  
20 disclosed that improve the efficiency and/or effectiveness of the steps necessary to characterize mechanical or physical properties of a material sample or a plurality of samples. In preferred embodiments, in the context of polymer analysis, a property of a plurality of polymer samples or of components thereof can be detected in a polymer characterization system with an average sample-throughput sufficient for an  
25 effective combinatorial polymer science research program.

Referring to Fig. 1, the systems and methods, preferably, start with a library or array of sample materials that may exhibit one or more predetermined physical/mechanical properties. Ultimately, these predetermined properties will be determined in a determination step (Step E), however, several prior steps may be  
30 effected prior to Step E. The sample materials may be prepared such as by heating, cooling, or addition of additives. Such preparation is typically designed to affect the properties that are ultimately being determined. The sample materials may also be positioned in a desirable manner for property determination. The materials may be positioned on a substrate, a machine or otherwise positioned to assist in ultimately  
35 determining properties of the materials.

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5 It will be appreciated that one of the advantageous features of the present invention is that it affords the ability to screen newly created materials some or all of which are uncharacterized or whose properties are unknown prior to the time of screening. Thus, previously unidentified and uncharacterized new materials can be screened for a common selected property. However, this does not prevent the 10 employment of known references controls or standard as among the library members.

It shall be recognized that sample preparation (Step A) and sample positioning (Step B) may be optional steps in property determination protocols. Also sample preparation and sample positioning may be performed in any order if they 15 are performed. Additionally it should be recognized that sequences other than the order of steps listed above are possible, and the above listing is not intended as limiting.

Typically, however, stimulation of the sample materials (Step C) is needed to effect one or more responses of the materials wherein the responses are related to 20 the one or more physical properties that are being tested. Exemplary stimuli include force, contact, motion and the like. Exemplary responses include flow, or resistance to flow, deflection, adhesion, deformation, rupture or the like. Negative forces (e.g., compression as opposed to tension, negative pressure as opposed to positive pressure) or the like may be employed.

25 The responses of the materials are typically monitored (Step D) with hardware such as sensors, transducers, load cells or other like devices. Properties may be determined (Step E) quantitatively or qualitatively by relating the responses to the material properties.

A plurality of samples may be characterized as described above in connection 30 with FIG. 1. As a general approach for improving the sample throughput for a plurality of samples, each of the steps (A) through (E) of FIG. 1 applicable to a given characterization protocol can be optimized with respect to time and quality of information, both individually and in combination with each other. Additionally or alternatively, each or some of such steps can be effected in a rapid-serial, parallel, serial-parallel or hybrid parallel-serial manner.

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5        The throughput of a plurality of samples through a single step in a characterization process is improved by optimizing the speed of that step, while maintaining--to the extent necessary--the information-quality aspects of that step. Although conventional research norms, developed in the context in which research was rate-limited primarily by the synthesis of samples, may find such an approach  
10      less than wholly satisfactory, the degree of rigor can be entirely satisfactory for a primary or a secondary screen of a combinatorial library of samples. For combinatorial research (and as well, for many on-line process control systems), the quality of information should be sufficiently rigorous to provide for scientifically acceptable distinctions between the compounds or process conditions being  
15      investigated; and for a secondary screen, to provide for scientifically acceptable correlation (e.g., values or, for some cases, trends) with more rigorous, albeit more laborious and time-consuming traditional characterization approaches.

The throughput of a plurality of samples through a series of steps, where such steps are repeated for the plurality of samples, can also be optimized. In one approach, one or more steps of the cycle can be compressed relative to traditional approaches or can have leading or lagging aspects truncated to allow other steps of the same cycle to occur sooner compared to the cycle with traditional approaches. In another approach, the earlier steps of a second cycle can be performed concurrently with the later steps of a first cycle. For example, in a rapid-serial  
20      approach for characterizing a sample, sample preparation, delivery to a substrate or the like, for a second sample in a series can be effected before or while the first sample in the series is being screened. As another example, a screen of a second sample in a series can be initiated while the first sample in the series is being screened.

30       A characterization protocol for a plurality of samples can involve a single-step process (e.g., direct measurement of a property of a sample or of a component thereof) or several steps. In a rapid-serial screen approach for a single-step process, the plurality of samples and a single measuring instrument or other instruments are serially positioned in relation to each other for serial analysis of the samples. In a parallel analysis approach, (e.g., as might be employed by itself, or in  
35      an upstream or downstream analysis of the samples relative to a rapid-serial

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- 5 analysis of the present invention) two or more measuring instruments or other apparatus are employed to measure properties of two or more samples simultaneously.

In a serial-parallel approach, a property of a larger number of samples (e.g., four or more) is screened as follows. First, a property of a subset of the four or more samples (e.g., 2 samples) is screened in parallel for the subset of samples, and then 10 serially thereafter, a property of another subset of four or more samples is screened in parallel. It will be recognized, of course, that plural measuring instruments can be employed simultaneous, or plural measuring instruments can be employed serially.

For characterization protocols involving more than one step, optimization approaches to effect high-throughput characterization can vary. As one example, a plurality of samples can be characterized with a single characterization system (I) in a rapid-serial approach in which each of the plurality of samples ( $s_1, s_2, s_3, \dots, s_n$ ) are processed serially through the characterization system (I) with each of the steps effected in series on each of the samples to produce a serial stream of corresponding characterizing property information ( $p_1, p_2, p_3, \dots, p_n$ ). This approach 20 benefits from minimal capital investment, and may provide sufficient throughput--particularly when the steps have been optimized with respect to speed and quality of information.

As another example, a plurality of samples can be characterized with two or 25 more instruments in a pure parallel (or for larger libraries, serial-parallel) approach in which the plurality of samples ( $s_1, s_2, s_3, \dots, s_n$ ) or a subset thereof are processed through the two or more measurement systems (I, II, III . . . N) in parallel, with each individual system effecting each step on one of the samples to produce the property 30 information ( $p_1, p_2, p_3, \dots, p_n$ ) in parallel. This approach is advantageous with respect to overall throughput, but may be constrained by the required capital investment.

In a hybrid approach, certain of the steps of the characterization process can be effected in parallel, while certain other steps can be effected in series. Preferably, for example, it may be desirable to effect the longer, throughput-limiting steps in parallel for the plurality of samples, while effecting the faster, less limiting 35 steps in series. Such a parallel-series hybrid approach can be exemplified by parallel sample preparation of a plurality of samples ( $s_1, s_2, s_3, \dots, s_n$ ), followed by

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- 5 measuring with a single apparatus to produce a serial stream of corresponding characterizing property information ( $p_1, p_2, p_3 \dots p_n$ ). In another exemplary parallel-series hybrid approach, a plurality of samples ( $s_1, s_2, s_3 \dots s_n$ ) are prepared, measured and correlated in a slightly offset (staggered) parallel manner to produce the characterizing property information ( $p_1, p_2, p_3 \dots p_n$ ) in the same staggered-parallel manner.

10 Optimization of individual characterization steps with respect to speed and quality of information can improve sample throughput regardless of whether the overall characterization scheme involves a rapid-serial or parallel aspect (i.e., true parallel, serial-parallel or hybrid parallel-series approaches). As such, the 15 optimization techniques disclosed hereinafter, while discussed primarily in the context of a rapid-serial approach, are not limited to such an approach, and will have application to schemes involving parallel characterization protocols that may be employed.

20 **Sample Materials**

The samples for which the present invention is useful for screening include polymeric materials or any other liquid, semi-solid, or solid material that is capable of being provided as a high viscosity fluid, solid, or other suitable form. Accordingly, without limitation, pure materials, mixtures of materials, bulk materials, particles of 25 materials, thin films of materials, dispersions of materials, emulsions of materials, and solutions of materials are all contemplated as within the useful scope of the present invention.

In a particularly preferred embodiment, the present invention is employed for screening polymer samples, or plastic samples including polymers. Accordingly, 30 unless otherwise stated, reference to screening of polymers or other processing of polymers includes plastics incorporating such polymers. The polymer sample can be a homogeneous polymer sample or a heterogeneous polymer sample, and in either case, comprises one or more polymer components. As used herein, the term "polymer component" refers to a sample component that includes one or more 35 polymer molecules. The polymer molecules in a particular polymer component can have the same repeat unit, and can be structurally identical to each other or

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5 structurally different from each other. For example, a polymer component may comprise a number of different molecules, with each molecule having the same repeat unit, but with a number of molecules having different molecular weights from each other (e.g., due to a different degree of polymerization). As another example, a heterogeneous mixture of copolymer molecules may, in some cases, be included  
10 within a single polymer component (e.g., a copolymer with a regularly-occurring repeat unit), or may, in other cases, define two or more different polymer components (e.g., a copolymer with irregularly-occurring or randomly-occurring repeat units). Hence, different polymer components include polymer molecules having different repeat units. It is possible that a particular polymer sample (e.g., a member of a library) will not contain a particular polymer molecule or polymer  
15 component of interest.

In one embodiment, the polymer molecule of the polymer component is preferably, but need not be, a non-biological polymer. A non-biological polymer is, for purposes herein, a polymer other than an amino-acid polymer (e.g., protein) or a nucleic acid polymer (e.g., deoxyribonucleic acid (DNA)). However, the employment  
20 of the present invention for screening of materials for use as biological implants or prosthetics is contemplated. For instance, the ability of a biological polymer to bind to an agent may be determined from the mechanical property response of a sample of the material in the presence of such agent. The polymer molecule of the polymer component is, however, not generally critical; that is, the systems and methods disclosed herein will have broad application with respect to the type (e.g.,  
25 architecture, composition, synthesis method or mechanism) and/or nature (e.g., physical state, form, attributes) of the polymer. Hence, the polymer molecule can be, with respect to homopolymer or copolymer architecture, a linear polymer, a branched polymer (e.g., short-chain branched, long-chained branched, hyper-branched), a cross-linked polymer, a cyclic polymer or a dendritic polymer. A copolymer molecule  
30 can be a random copolymer molecule, a block copolymer molecule (e.g., di-block, tri-block, multi-block, taper-block), a graft copolymer molecule or a comb copolymer molecule.

35 The particular composition of the polymer molecule is not critical. The material may be thermoplastic, thermoset or a mixture thereof. It may be a

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5 polycondensate, polyadduct, a modified natural polymer. Exemplary materials include polymers incorporating olefins, styrenes, acrylates, methacrylates, polyimides, polyamides, epoxies, silicones, phenolics, rubbers, halogenated polymers, polycarbonates, polyketones, urethanes, polyesters, silanes, sulfones, allyls, polyphenylene oxides, terphthalates, or mixtures thereof. Other specific 10 illustrative examples can include repeat units or random occurrences of one or more of the following, without limitation: polyethylene, polypropylene, polystyrene, polyolefin, polyamide, polyimide, polyisobutylene, polyacrylonitrile, poly(vinyl chloride), poly(methyl methacrylate), poly(vinyl acetate), poly(vinylidene chloride), polytetrafluoroethylene, polyisoprene, polyacrylamide, polyacrylic acid, polyacrylate, 15 poly(ethylene oxide), poly(ethyleneimine), polyamide, polyester, polyurethane, polysiloxane, polyether, polyphosphazine, polymethacrylate, and polyacetals. Polysaccharides are also preferably included within the scope of polymers. Exemplary naturally-occurring polysaccharides include cellulose, dextran, gums (e.g., guar gum, locust bean gum, tamarind xyloglucan, pullulan), and other 20 naturally-occurring biomass. Exemplary semi-synthetic polysaccharides having industrial applications include cellulose diacetate, cellulose triacetate, acylated cellulose, carboxymethyl cellulose and hydroxypropyl cellulose. In any case, such naturally-occurring and semi-synthetic polysaccharides can be modified by reactions such as hydrolysis, esterification, alkylation, or by other reactions.

25 In typical applications, a polymer sample is a heterogeneous sample comprising one or more polymer components, one or more monomer components and/or and an additional phase which may be a continuous fluid phase. In copolymer applications, the polymer sample can comprise one or more copolymers, a first comonomer, a second comonomer, additional comonomers, and/or a 30 continuous fluid phase. The polymer samples can, in any case, also include other components, such as catalysts, catalyst precursors (e.g., ligands, metal-precursors), solvents, initiators, additives, products of undesired side-reactions (e.g., polymer gel, or undesired homopolymer or copolymers) and/or impurities. Typical additives include, for example, surfactants, fillers, reinforcements, flame retardants, colorants, 35 environmental protectants, other performance modifiers, control agents, plasticizers, cosolvents and/or accelerators, among others. In this regard, the present invention

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- 5 is particularly attractive for the screening of effects of variations of additives upon the characteristics of the material. The various components of the heterogeneous polymer sample can be uniformly or non-uniformly dispersed in the continuous fluid phase.

10 In one preferred embodiment, the polymer samples of the present invention are melted or otherwise heated to a high viscosity fluid state, with the resulting material constituting a high viscosity fluid sample. Heating may be performed simultaneously while the samples are on a common substrate. Alternatively, the sample is heated to liquefy it or maintain its liquidity while being transferred to a common substrate (e.g., while in a probe of an automated sampler).

15 In another embodiment at a point prior to, during, or after depositing the sample onto the substrate, the polymer sample is preferably, chemically treated to form a liquid polymer sample, such as a polymer solution, a polymer emulsion, a polymer dispersion or a polymer that is liquid in the pure state (i.e., a neat polymer). A polymer solution comprises one or more polymer components dissolved in a solvent. The polymer solution can be of a form that includes well-dissolved chains and/or dissolved aggregated micelles. The solvent can vary, depending on the application, for example with respect to polarity, volatility, stability, and/or inertness or reactivity. Typical solvents include, for example, tetrahydrofuran (THF), toluene, hexane, ethers, trichlorobenzene, dichlorobenzene, dimethylformamide, water, aqueous buffers, alcohols, etc. According to traditional chemistry conventions, a polymer emulsion can be considered to comprise one or more liquid-phase polymer components emulsified (uniformly or non-uniformly) in a liquid continuous phase, and a polymer dispersion can be considered to comprise solid particles of one or more polymer components dispersed (uniformly or non-uniformly) in a liquid continuous phase. The polymer emulsion and the polymer dispersion can also be considered, however, to have the more typically employed meanings specific to the art of polymer science--of being an emulsion-polymerization product and dispersion-polymerization product, respectively. In such cases, for example, the emulsion polymer sample can more generally include one or more polymer components that are insoluble, but uniformly dispersed, in a continuous phase, with typical emulsions including polymer component particles ranging in diameter from about 1 nm to about

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5        500 nm, more typically from about 5 nm to about 300 nm, and even more typically from about 40 nm to about 200 nm. The dispersion polymer sample can, in such cases, generally include polymer component particles that are dispersed (uniformly or nonuniformly) in a continuous phase, with typical particles having a diameter ranging from about 0.2 um to about 1000 um, more typically from about 0.4 um to about 500 um, and even more typically from about 0.5 um to about 200 um.

10      Exemplary polymers that can be in the form of neat polymer samples include dendrimers, and siloxane, among others. The high viscosity fluid polymer sample can also be employed in the form of a slurry, a latex, a microgel, a physical gel, or in any other form sufficient for creating an array for screening analysis as described and claimed herein. In some cases, polymer synthesis reactions (i.e., polymerizations) directly produce high viscosity fluid samples. In other cases, the polymer may be synthesized, stored or otherwise available for characterization in a non-liquid physical state, such as a solid state (e.g., crystalline, semicrystalline or amorphous), a glassy state or rubbery state. The polymer sample can, regardless of its particular form, have various attributes, including variations with respect to polarity, solubility and/or miscibility.

In preferred applications, the polymer sample is a polymerization product mixture. As used herein, the term "polymerization product mixture" refers to a mixture of sample components obtained as a product from a polymerization reaction. An exemplary polymerization product mixture can be a sample from a combinatorial library prepared by polymerization reactions, or can be a polymer sample drawn off of an industrial process line. In general, the polymer sample may be obtained after the synthesis reaction is stopped or completed or during the course of the polymerization reaction. Alternatively, samples of each polymerization reaction can be taken and placed into intermediate vessels at various times during the course of the synthesis, optionally with addition of more solvent or other reagents to arrest the synthesis reaction or prepare the samples for analysis. These intermediate samples can then be characterized at any time without interrupting the synthesis reaction.

It is also possible to use polymer samples or libraries of polymer samples that were prepared previously and stored. Typically, polymer libraries can be stored with

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5 agents to ensure polymer integrity. Such storage agents include, for example, antioxidants or other agents effective for preventing cross-linking of polymer molecules during storage. Depending upon the polymerization reaction, other processing steps may also be desired, all of which are preferably automated.

10 The polymerization scheme and/or mechanism by which the polymer molecules of the polymer component of the sample are prepared is not critical, and can include, for example, reactions considered to be addition polymerization, condensation polymerization, step-growth polymerization, and/or chain-growth polymerization reactions. Viewed from another aspect, the polymerization reaction can be an emulsion polymerization or a dispersion polymerization reaction. Viewed 15 more specifically with respect to the mechanism, the polymerization reaction can be free radical polymerization, ionic polymerization (e.g., cationic polymerization, anionic polymerization), and/or ring-opening polymerization reactions, among others. Non-limiting examples of the foregoing include, Ziegler-Natta or Kaminsky-Sinn reactions and various copolymerization reactions. Polymerization product mixtures 20 can also be prepared by modification of a polymeric starting materials, by grafting reactions, chain extension, chain scission, functional group interconversion, or other reactions.

25 It will be appreciated that in certain embodiments, a polymer sample is formed in situ on a substrate, post synthesis treated in situ on a substrate, or a combination thereof. Examples of such post synthesis treatment steps include for instance, heat treating, environmental exposure (e.g., temperature moisture, radiation, chemicals, etc.), aged, or the like.

30 In other preferred embodiments, polymer or other sample materials may be provided as solids or semi-solids. Such samples may be provided in a variety of geometric configurations such as blocks, cylinders, loops, films and the like. Generally, geometric configurations are selected to be appropriate for one or more tests that are to be performed upon the samples. Solid and semi-solid samples may be rigid, elastic, gelatinous or otherwise. In one preferred embodiment, samples are provided in a tacky state, and thus exhibits at least some degree of adhesiveness 35 within the range of temperature under examination. Samples may also be specifically arranged for testing. For example, samples may be interwoven as a

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- 5 fabric, unwoven, machined to shape, molded to shape, cut to shape or otherwise  
physically manipulated for testing.

### Sample Size

The sample size is not narrowly critical, and can generally vary, depending on  
10 the particular characterization protocols and systems used to analyze the sample or  
components thereof. However, it will be appreciated that the present invention  
advantageously permits for attaining reliable data with relatively small samples.  
Typical sample sizes can range from about 0.1 microgram to about 1 gram, more  
15 typically from about 1 microgram to about 100 milligrams, even more typically from  
about 5 micrograms to about 1000 micrograms, and still more typically from about 20  
micrograms to about 50 micrograms.

If and when placed on a substrate for forming a library, as discussed herein  
with regard to sampling, the samples may be dispensed with any suitable dispensing  
apparatus (e.g., an automated micropipette or capillary dispenser, optionally with a  
20 heated tip). Each sample of the library is dispensed to an individually addressable  
region on the substrate. Generally, each sample occupies no more than about 1000  
mm<sup>2</sup> of area on a substrate surface, preferably no more than about 100 mm<sup>2</sup>, more  
preferably no more than about 50 mm<sup>2</sup>, even more preferably no more than about  
10 mm<sup>2</sup>, most preferably no more than about 5 mm<sup>2</sup>, and it is possible for a sample  
25 to occupy less than about 1 mm<sup>2</sup>. The sample is preferably to have a thickness that  
is less than about 500 microns, preferably less than about 100 microns, even more  
preferably less than about 10 microns, most preferably less than about 5 microns,  
and it is possible for a sample to have a thickness that is less than about 1 microns.

In applications where the sample is disposed in a well, preferably the sample  
30 size does not exceed about 1000 milligrams. Accordingly, for dispensing high  
viscosity fluid samples, the individual samples are each dispensed in amounts no  
greater than about 100 ml, more preferably no greater than about 10 ml and still  
more preferably no greater than about 1 ml.

### 35 Libraries of Sample Materials

Libraries of samples have 2 or more samples that are physically or temporally

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5     separated from each other—for example, by residing in different regions of a common substrate, in different substrates, in different sample containers of a common substrate, by having a membrane or other partitioning material positioned between samples, or otherwise. The plurality of samples preferably has at least 4 samples and more at least 8 samples. Four samples can be employed, for example, in connection with experiments having one control sample and three polymer samples varying (e.g., with respect to composition or process conditions as discussed above) to be representative of a high, a medium and a low-value of the varied factor—and thereby, to provide some indication as to trends. Four samples are also a minimum number of samples to effect a serial-parallel characterization approach, as described above (e.g., with two analytical instruments operating in parallel). Eight samples can provide for additional variations in the explored factor space. Moreover, eight samples corresponds to the number of parallel polymerization reactors in the PPR-8<sup>TM</sup>, being selectively offered as one of the Discovery Tools<sup>TM</sup> of Symyx Technologies, Inc. (Santa Clara, Calif.), which can be used to prepare polymers for screening in accordance with the present invention. Higher numbers of samples can be investigated, according to the methods of the invention, to provide additional insights into larger compositional and/or process space. In some cases, for example, the plurality of samples can be 15 or more samples, preferably 20 or more samples, more preferably 40 or more samples and even more preferably 80 or more samples. Such numbers can be loosely associated with standard configurations of other parallel reactor configurations for synthesizing materials for screening herein (e.g., the PPR-48<sup>TM</sup>, Symyx Technologies, Inc.) or of standard sample containers (e.g., 96-well microtiter plate-type formats). Moreover, even larger numbers of samples can be characterized according to the methods of the present invention for larger scale research endeavors. Hence, for screening of polymers or other materials the number of samples can be 150 or more, 400 or more, 500 or more, 750 or more, 1,000 or more, 1,500 or more, 2,000 or more, 5,000 or more and 10,000 or more samples. As such, the number of samples can range from about 2 samples to about 10,000 samples or more, and preferably from about 8 samples to about 10,000 or more samples. In many applications, however, the number of samples can range from about 80 samples to about 1500 samples.

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5        In some cases, in which processing of samples using typical 96-well microtiter-plate formatting or scaling is convenient or otherwise desirable, the number of samples can be  $96 \times N$ , where N is an integer ranging from about 1 to about 100 or greater. For many applications, N can suitably range from 1 to about 20, and in some cases, from 1 to about 5.

10      A library of samples comprises two or more different samples spatially separated—preferably, but not necessarily on a common substrate, or temporally separated. Candidate samples (i.e., members) within a library may differ in a definable and typically predefined way, including with regard to chemical structure, post-processing (e.g., synthesis) history, mixtures of interacting components, post-synthesis treatment, purity, etc. The samples are spatially separated, preferably at an exposed surface of the substrate, such that the library of samples is separately addressable for characterization thereof. The two or more different samples can reside in sample containers formed as wells in a surface of the substrate. The number of samples included within the library can generally be the same as the number of samples included within the plurality of samples, as discussed above. In general, however, not all of the samples within a library of samples need to be different samples. When process conditions are to be evaluated, the libraries may contain only one type of sample. The use of reference standards, controls or calibration standards may also be performed, though it is not necessary. Further, a library may be defined to include sub-groups of members of different libraries, or it may include combinations of plural libraries. The samples of a library may be previously characterized, uncharacterized or a combination thereof, so that property information about the samples may not be known before screening.

30      Typically, for combinatorial science research applications, at least two or more, preferably at least four or more, even more preferably eight or more and, in many cases, most preferably each of the plurality of polymer samples in a given library of samples will be different from each other. Specifically, a different sample can be included within at least about 50%, preferably at least 75%, preferably at least 80%, even more preferably at least 90%, still more preferably at least 95%, yet more preferably at least 98% and most preferably at least 99% of the samples included in the sample library. In some cases, all of the samples in a library of

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5 samples will be different from each other.

In one embodiment, preferably at least eight samples are provided in a library on a substrate and at least about 50% of the samples included in the library are different from each other. More preferably, the library includes at least 16 samples and at least 75% of said samples included in said library are different from each 10 other. Still more preferably, the library includes at least 48 samples and at least 90% of said samples included in the library are different from each other.

The substrate can be a structure having a rigid or semi-rigid surface on which or into which the library of samples can be formed, mounted, deposited or otherwise positioned. The substrate can be of any suitable material, and preferably includes 15 materials that are inert with respect to the samples of interest, or otherwise will not materially affect the mechanical or physical characteristics of one sample in an array relative to another. Exemplary polymeric materials that can be suitable as a substrate material in particular applications include polyimides such as Kapton<sup>TM</sup>, polypropylene, polytetrafluoroethylene (PTFE) and/or polyether etherketone (PEEK), 20 among others. The substrate material is also preferably selected for suitability in connection with known fabrication techniques. Metal or ceramic (e.g., stainless steel, silicon, including polycrystalline silicon, single-crystal silicon, sputtered silicon, and silica (SiO<sub>2</sub>) in any of its forms (quartz, glass, etc.)) are also preferred substrate materials. Other known materials (e.g., silicon nitride, silicon carbide, metal oxides, 25 (e.g., alumina), mixed metal oxides, metal halides (e.g., magnesium chloride), minerals, zeolites, and ceramics) may also be suitable for a substrate material in some applications. Another suitable substrate is a silicon wafer that has been patterned to define a predetermined configuration on which the sample or samples are deposited (e.g., suspended deflectable arms). As to form, the sample containers 30 formed in, at or on a substrate can be preferably, but are not necessarily, arranged in a substantially flat, substantially planar surface of the substrate. The sample containers can be formed in a surface of the substrate as dimples, spots, wells, raised regions, trenches, or the like. Non-conventional substrate-based sample containers, such as relatively flat surfaces having surface-modified regions (e.g., 35 selectively wettable regions) can also be employed. The overall size and/or shape of the substrate is not limiting to the invention. The size and shape can be chosen,

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5 however, to be compatible with commercial availability, existing fabrication techniques, and/or with known or later-developed automation techniques, including automated sampling and automated substrate-handling devices. The substrate is also preferably sized to be portable by humans. The substrate can be thermally insulated, particularly for high-temperature and/or low-temperature applications.

10 In certain preferred embodiments, the substrate is formed to securely maintain contact with a plurality of samples. According to various methodologies it may be desirable to place forces on samples while the samples remain secured to the substrate. Forces may be applied to the samples by one or more members separate from the substrate or the substrate may apply the forces.

15 In one particularly preferred embodiment, the library includes a combinatorial library of polymerization product mixtures. Polymer libraries can comprise, for example, polymerization product mixtures resulting from polymerization reactions that are varied with respect to, for example, reactant materials (e.g., monomers, comonomers), catalysts, catalyst precursors, initiators, additives, the relative 20 amounts of such components, reaction conditions (e.g., temperature, pressure, reaction time), post-synthesis treatment, or any other factor affecting polymerization or material properties. Design variables for polymerization reactions are well known in the art. See generally, Odian, Principles of Polymerization, 3rd Ed., John Wiley & Sons, Inc. (1991). A library of polymer samples may be prepared in parallel 25 polymerization reactors or in a serial fashion. Exemplary methods and apparatus for preparing polymer libraries--based on combinatorial polymer synthesis approaches--are disclosed in copending U.S. Patent Application Serial No. 09/211,982 of Turner, et al., filed on December 14, 1998, copending U.S. Patent Application Serial No. 09/227,558 of Turner, et al., filed on January 8, 1999, copending U.S. Patent 30 Application Serial No. 09/235,368 of Weinberg, et al., filed on January 21, 1999, and copending U.S. Provisional Patent Application Serial No. 60/122,704 entitled "Controlled, Stable Free Radical Emulsion and Water-Based Polymerizations", filed on March 9, 1999 by Klaerner, et al. See also, PCT Patent Application WO 96/11878.

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### Non-Polymer Sample Materials

Although several of the primary applications of the present invention are directed to combinatorial polymer science research and/or quality control for industrial polymer synthesis or processing protocols, some aspects of the invention can have applications involving non-polymer samples. A non-polymer sample can be a material that comprises an organic or an inorganic non-polymer element or compound. For purposes herein, oligomers are considered to be polymers rather than non-polymers. The non-polymer molecule is, in some cases, preferably a non-biological non-polymer element or compound. Such non-biological non-polymer elements or compounds include non-polymer elements or compounds other than those having a well-characterized biological activity and/or a primary commercial application for a biological field (e.g., steroids, hormones, etc.). More particularly, such non-biological, non-polymer elements or compounds can include organic or inorganic pigments, carbon powders (e.g., carbon black), metals, metal compounds, metal oxides, metal salts, metal colloids, metal ligands, etc., without particular limitation. Other materials, which may be characterized according to the present invention include, without limitation, ceramic materials, semiconducting and conducting materials, metal and composites. Still other materials for which the present application finds utility are discussed elsewhere herein.

25

### Sample Handling

Handling of sample materials may be accomplished with a plurality of steps which include withdrawing a sample from a sample container and delivering at least a portion of the withdrawn sample to a substrate. Handling may also include additional steps, particularly and preferably, sample preparation steps. In one approach, only one sample is withdrawn into a suitable liquid or solid dispensing device and only one sample resides in the probe at one time. In other embodiments, multiple samples may be drawn. In still other embodiments, multiple dispensers may be used in parallel.

In the general case, handling can be effected manually, in a semi-automatic manner or in an automatic manner. A sample can be withdrawn from a sample

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- 5 container manually, for example, with a pipette or with a syringe-type manual probe, and then manually delivered to a loading port or an injection port of a characterization system. In a semi-automatic protocol, some aspect of the protocol is effected automatically (e.g., delivery), but some other aspect requires manual intervention (e.g., withdrawal of samples from a process control line). Preferably, however, the sample(s) are withdrawn from a sample container and delivered to the characterization system in a fully automated manner--for example, with an auto-sampler.

In one embodiment, handling may be done using a microprocessor controlling an automated system (e.g., a robot arm). Preferably, the microprocessor is user-programmable to accommodate libraries of samples having varying arrangements of samples (e.g., square arrays with "n-rows" by "n-columns", rectangular arrays with "n-rows" by "m-columns", round arrays, triangular arrays with "r-" by "r-" by "r-" equilateral sides, triangular arrays with "r-base" by "s-" by "s-" isosceles sides, etc., where n, m, r, and s are integers).

20

#### Overview of Instruments and Methods

The present invention comprises instruments and methods for screening the mechanical or physical properties of a combinatorial library of materials by using at least one response sensing device to measure the responses of individual library members to forces applied by at least one force application source selected from the group consisting of a fluid, a voltage, a piezoelectric and a combination thereof (hereinafter known as "FAS"). Referring to Figure 2A-2B, there is a flow schematic diagram of an exemplary automated system 10 for parallel determination of mechanical properties of a library of materials and a flowchart of the general steps for the methods of the present invention. Generally, the system 10 includes a suitable protocol design and execution software 12 that can be programmed with information such as synthesis, composition, location information or other information related to the library positioned with respect to a substrate or substrates. The protocol design and execution software 12 is typically in communication with instrument control software 14 for controlling the instrument 16 having at least one FAS 18 and at least one response sensing device 20. The protocol design and

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5 execution software 12 is also in communication with data acquisition hardware/software 22 for collecting data from response sensing device 20. Preferably, the instrument control software 14 commands the FAS 18 of the instrument 16 to apply force to each library member in an effort to evoke a response from such library member. The actual displacement of each library member by such  
10 force may be small (e.g., about 30  $\mu\text{m}$  or less). At substantially the same time, the response sensing device 20 of the instrument 16 monitors the response of the library member, the force being applied to the library member or both and provides data on the response to the data acquisition hardware/software 22. Thereafter, the instrument control software 14, the data acquisition hardware/software 22 or both  
15 transmit data to the protocol design and execution software 12 such that each library member or information about each library member may be matched with its response to the applied force and transmitted as data to a database 24. Once the data is collected in the database, analytical software 26 may be used to analyze the data, and more specifically, to determine mechanical properties of each library member, or the data may be analyzed manually.  
20

In a preferred embodiment, the system 10 is driven by suitable software for designing the library, controlling the instruments for mechanical property screening, and data acquisition, viewing and searching, such as LIBRARY STUDIO<sup>TM</sup>, by Symyx Technologies, Inc. (Santa Clara, California); IMPRESSIONIST<sup>TM</sup>, by Symyx Technologies, Inc. (Santa Clara, California); EPOCH<sup>TM</sup>, by Symyx Technologies, Inc. (Santa Clara, California); or a combination thereof. The skilled artisan will appreciate that the above-listed software can be adapted for use in the present invention, taking into account the disclosures set forth in commonly-owned and copending U.S. Patent Application Serial No. 09/174,856 filed on October 19, 1998, U.S. Patent  
25 Application Serial No. 09/305,830 filed on May 5, 1999 and WO 00/67086, U.S. Patent Application Serial No. 09/420,334 filed on October 18, 1999, U.S. Application Serial No. 09/550,549 filed on April 14, 2000, each of which is hereby incorporated by reference. Additionally, the system may also use a database system developed by Symyx Technologies, Inc. to store and retrieve data with the overlays such as  
30 those disclosed in commonly-owned and copending U.S. Patent Application Serial No. 09/755,623 filed on January 5, 2001, which is hereby incorporated by reference  
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5 for all purposes. The software preferably provides graphical user interfaces to permit users to design libraries of materials by permitting the input of data concerning the precise location on a substrate of a material (i.e., the address of the material). Upon entry, the software will execute commands to control movement of the robot, for controlling activity at such individual address. The versatile instruments and  
10 methods of the present invention can screen libraries of materials based on many different mechanical properties relating to Young's modulus (e.g., flexure, uniaxial extension, biaxial compression, and shear), failure (stress and strain at failure, toughness), adhesion, and others.

The instruments and methods of the present invention can conduct parallel, rapid-serial, serial-parallel and hybrid parallel-serial mechanical properties characterization. Some instruments and methods embodiments of the present invention are directed to parallel characterization of material samples, while others are directed to rapid serial or serial-parallel characterization of material samples. Throughout this specification, the specific preferred embodiments discussed in detail  
15 below are parallel embodiments. These particularly preferred embodiments have many detailed features, which may not be necessary in other embodiments of this invention. For example, less number of FAS and/or response sensing devices may be required in the rapid serial embodiments compared to the preferred parallel embodiments discussed below. Another example is that response sensing devices  
20 are placed remotely to the samples and are set at certain spacing in the preferred parallel embodiments discussed below. Those of skill in the art can easily modify such design parameters for other embodiments, such as by placing the response sensing devices at other spacing, not placing the response sensing devices substantially in a plane, etc. These are design choices for the present invention and  
25 describe other embodiments of the invention.

30 The several aspects of the characterization methods and systems disclosed and claimed herein can be advantageously employed separately, or in combination to efficiently characterize a variety of materials, with particular emphasis on polymeric materials. In preferred embodiments, these features are employed in  
35 combination to form a polymer characterization system that can operate as a high-throughput screen in a materials science research program directed to identifying

5 and optimizing new materials, for instance, new polymers, new catalysts, new polymerization reaction conditions and/or new post-synthesis processing conditions. Certain characterizing information—particularly information obtainable from the present invention are broadly useful for characterizing polymers and polymerization reactions. As such, the particular materials and/or mechanisms disclosed herein  
10 should be considered exemplary of the invention and non-limiting as to the scope of the invention, which may be applicable in a variety of applications.

#### Bulge Test Instrument

A bulge test instrument 100 is a preferred instrument of the present invention  
15 to measure mechanical properties of a library of materials 102. Referring to Fig. 3-5, the instrument 100 is generally comprised of a mounting member that is adapted for defining a substantially gas-tight vessel 104, e.g., a block having a plurality of openings 106 to which the library of materials 102 is removably secured across the openings 106 for screening, at least one FAS 110 that is a pressure varying device  
20 111 in combination with at least one fluid (not shown) that transmits the pressure (i.e., force) supplied by pressure varying device 111 to the library members 102, and at least one response sensing device 112 to measuring the response of each library member 102 to the forces delivered by the FAS 110. The vessel 104 can be constructed of any material, but it is preferably constructed of a sufficiently rigid  
25 material that the dimensions of the vessel do not change appreciably in response to changes in differential pressure. Examples of a preferred rigid material includes, without limitation, aluminum and stainless steel.

The securing of each library member 102 to the vessel 104 can be accomplished in any number of ways such as mechanically, magnetically,  
30 electromagnetically, electromechanically, chemically or a combination thereof. For example, the library member 102 can be secured across its respective opening by a mechanical clamp, an adhesive, or a combination of both. Referring to Fig. 5, the library of materials 102 is preferably placed on discrete and predefined regions 114 of a substrate or substrates 116. It is also preferred that the substrate is a flexible  
35 material. The predefined regions 114 generally correspond to unsecured or unclamped portions of the substrate(s) 116, which in Fig. 5, coincide with the

5       openings 106 in the vessel 104. Useful substrate 116 materials include plastic sheets, such as a polyimide film, which may ranges in thickness on the order of from about 10  $\mu\text{m}$  to about 100  $\mu\text{m}$ . The library members 102 generally have comparable thickness, and preferably are about 20  $\mu\text{m}$  thick. In some cases, clamping or fastening may be insufficient to secure the substrate(s) 116 to the vessel 104. Thus,  
10      in an alternative embodiment, the substrate(s) 116 may be bonded to the vessel 104 using a pressure sensitive adhesive. The adhesive should be less compliant than the substrate(s) 116, and during its application, care is taken to ensure a uniform bond line adjacent to the openings 106.

As discussed above, various methods can be used to make the library 102.  
15      For example, a library 102 comprised of polymers can be prepared by depositing known amounts of high viscosity fluid or solid materials 102 at the predefined regions 114 on substrate(s) 116. Following deposition, the library materials 102 and substrate(s) 116 are preferably compressed under melt-flow conditions to create polymer films of requisite thickness. Alternatively, the library members 102 can be dissolved in one or more solvents and deposited at the predefined regions 114 on the substrate(s) 116 using conventional liquid handling techniques such as automated pipetting. To prevent liquid library members 102 from spreading beyond their respective predefined regions 114, the substrate(s) 116 are pretreated—e.g., by selective etching or by silane treatment—to modify the surface energy of the substrate(s) 116 in or out of the predefined regions 114. See, for example, co-pending U.S. Patent Application entitled "Formation of Combinatorial Arrays of Materials Using Solution-Based Methodologies," Serial No. 09/156,827, filed Sept. 18, 1998, and co-pending U.S. Patent Application, "Polymer Libraries on a Substrate, Method for Forming Polymer Libraries on a Substrate and Characterization Methods With Same," Serial No. 09/567,598, filed May 10, 2000, each is herein incorporated by reference. Upon deposition, the liquid library members 102 are confined to predefined regions 114 having like surface energies, and form solid films following evaporation of the solvent. After brief annealing under vacuum to remove residual solvent, the thickness at the center or other location of each library member 102 can be measured using a variety of art disclosed techniques, including optical reflection profilometry, optical interference profilometry,

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5 or the like. In another embodiment, metallic, organometallic, or other compounds can be directly deposited on the substrate(s) 116 by chemical vapor deposition, physical vapor deposition, or similar art disclosed techniques.

In some instances, the size and placement of the library members 102 on the substrate(s) 116 can affect the measurements. Although thin films made by solution deposition techniques often have relatively uniform thickness near their centers, they exhibit substantial variation away from their centers, which can influence flexural measurements. To help minimize edge effects, library members 102 made by solution deposition techniques should generally extend beyond the regions defined by the openings 106.

15 The FAS 110 operates by having its pressure varying device 111 apply pressure to at least one library member 102 through a transmission fluid (*i.e.*, gas or liquid). Examples of a pressure varying device 111 include, without limitation, a piston connected to the vessel 104 that varies the pressure within the vessel 104 by mechanical compression or expansion of a transmission fluid; a temperature controller for varying the temperature of a transmission fluid; a heat transfer device such as a resistance heater, a liquid-liquid heat exchanger that is connected to a reservoir of exchange fluid, a liquid-gas heat exchanger that is connected to a reservoir of exchange fluid, and a combination thereof. If a heat transfer device is used as the pressure varying device 111, then the region of transmission fluid that is heated or cooled is preferably separated from the region surrounding each library member 102 so as to minimize changes in the temperature of the library member 102. This is more easily achieved with gaseous transmission fluids, where the change in pressure within temperature is relatively large and the thermal conductivity of the medium is relatively low compared to liquid transmission fluids.

30 Pressure may be varied across more than one library member 102 simultaneously. This approach generally reduces the complexity of the instrument but may lead to a less robust instrument, as the mechanical failure of one secured library member 102 are likely to affect all other library members 102 that share the same FAS 110. Thus, it is preferred that each library member 102, secured across 35 its respective opening 106 within the vessel 104, has its own FAS 110, response sensing device 112 or both. For clarity purpose, Fig. 3 only shows one response

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5 sensing device 112, but the present invention is not limited to having one response  
sensing device 112. For example, for some parallel embodiments, the instrument  
100 may have numerous response sensing devices 112 up to, and even beyond, the  
number of members in the library 102. It is also acceptable to less number of  
response sensing devices 112 compared to the number of members in the library  
102. Alternatively, the response sensing device 112 can be configured to translate  
10 in an x-y direction to measure the response of each library member 102 to the  
applied force one at a time in a rapid serial fashion.

The transmission fluid transmits the pressure variation generated by the  
pressure varying device 111 within the vessel 104 to its respective library member(s)  
15 102. The transmission fluid is preferably chosen so as to be chemically inert with  
respect to the internal components of the vessel 104, and/or to the respective library  
member(s) 102. The compressibility of the transmission fluid is preferably chosen so  
that the means of varying the internal pressure is capable of generating pressure  
changes of the desired magnitude. Examples of a suitable transmission fluid  
20 include, without limitation, air, argon, hydrogen, nitrogen, helium, fluorocarbon  
liquids, ethanol, water, mercury, and mixture thereof. Fluorocarbon liquids, ethanol,  
and water are preferred transmission fluids for less compliant materials such as thin  
metal foils and mercury may be a suitable transmission fluid for extremely stiff  
material requiring extremely large pressure changes. In the event of a conflict  
25 between these requirements, two or more fluids may be used to transmit the  
pressure variation within the vessel 104. In this alternative preferred embodiment,  
the vessel 104 is designed such that one of the fluids remains in contact with its  
respective library member(s) 102 while the other fluid remains in contact with the  
pressure varying device 111, and both fluids are mutually immiscible. The  
30 separation of these fluids is preferred to be maintained through gravity, surface  
tension, or a mixture thereof.

The response (i.e., displacement) of each library member 102 to the pressure  
transmitted by the transmission fluid and applied by the pressure varying device 111  
is measured by a response sensing device 112 as shown in Fig. 3. The response  
35 sensing device 112 can be a variety of commercially available electronic pressure

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5 sensors. Alternatively, the response sensing device 112 can measure the response optically, electrically, or via a dual pressure system.

### Optical Response Sensing Devices

10 The response sensing device 112 can measure the response of each library member 102 to the force applied by the FAS 110 optically using art disclosed methods such as optical reflectance, optical interferometry, shadow illumination, and others. Examples of commercially available optical sensing devices 112 are Keyence Displacement Sensors Model Nos. LC-2450 and LC-2430 manufactured by  
15 Keyence Corporation (Woodcliff Lake, New Jersey).

Optical reflectance generally involves illuminating the surface of each library member 102 by a highly collimated, monochromatic light source placed at a slight angle to the normal of the plane of the undeflected library member 102 and a detector aligned so as to detect the light scattered or reflected from the surface of  
20 the library member 102. Change in the height of the library member 102 produce changes in the signal received by the detector. Examples of a suitable light source include, without limitation, light emitting diodes (LEDs) and lasers. Examples of a suitable detector include, without limitation, a charge-coupled device (CCD), a photomultiplier, an avalanche photodiode, or an amplified photodiode.

25 Optical interferometry generally involves illuminating the surface of each library member 102 by a laser beam (monochromatic or polychromatic) with an incident beam directed along the normal to the plane of the undeflected library member by an optical train. The library member 102 and the end of the optical train define an optical cavity whose length is given by the distance from the end of the  
30 train to the surface of the library member 102. Some fraction of the incident beam is reflected from the surface of the library member 102, and the reflected and incident beams interfere. The optical train generally includes an element that splits the light reflected back from the surface of the library member 102 and directs part of the reflected light to a detector. Examples of a suitable optical train include, without  
35 limitation, appropriately aligned half-silvered mirrors, fiber optic beamsplitters, and the like. If a polychromatic light source is used, the split signal is then passed

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- 5 through or reflected from a diffraction grating (not shown) in order to separate the light into its spectral components. Examples of a suitable detector for both monochromatic and polychromatic light sources include, without limitation, a charge-coupled device (CCD), a photomultiplier, an avalanche photodiode, an amplified photodiode, and the like. For a monochromatic light source, variation in the optical train to the library member 102 distance generally produces a periodic variation in the intensity of the signal received at the detector. For a polychromatic light source, the signal received by the detector displays a frequency-dependent oscillation in intensity which depends on the absolute value of the optical train to the library member distance.
- 10 /
- 15 Shadow illumination generally involves illuminating the surface of each library member 102 by a light source with an incident beam directed at an angle to the normal of the plane of the undeflected library member 102. The light reflected from the library member 102 and surrounding support is generally detected at a second, large angle with respect to the normal plane of the library member 102 by a detector.
- 20 Examples of a suitable light source includes, without limitation, light-emitting diodes, conventional lamps, and the like. As the library member 102 is displaced by the pressure transmitted by the transmission fluid and applied by the FAS 110, some fraction of the reflected light is blocked by the library member 102, and some fraction of the light is reflected away from the detector. In one preferred embodiment, the
- 25 detector measures the intensity of the light signal. Changes in this intensity are related to variation in the shape of the library member 102 as it is being deformed or displaced. Examples of a suitable detector for this embodiment include, without limitation, photodiodes and phototransistors. In another preferred embodiment, the detector is an imaging detector (e.g., a CCD) that records the shadow cast by the
- 30 displaced library member and subsequent analysis may be performed to yield the shape of the library member.

#### Electrical Response Sensing Devices

The response sensing device 112 can measure the response of each library member to the force applied by the FAS 110 electrically using art disclosed methods

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- 5 such as capacitance, resistance, tunneling, electromechanical switching systems, or  
others.

One preferred capacitance method generally involves placing a commercial capacitive sensor in close proximity to the surface of each library member 102. The changes in the distance between the library member 102 and the capacitive sensor 10 alter the combined capacitance of the capacitive sensor and the surrounding environment, resulting in a measurable voltage reading. An alternative capacitance method generally involves using a flexible and conductive material as the substrate(s) 116 (e.g., a piece of polyester coated with a thin layer of aluminum). The composite that is formed by each library member 102 and its respective substrate 116 is positioned in close proximity to a metal plate. The composite and the metal plate form a parallel plate capacitor in which the composite and the metal plate form the two electrodes. If the library member 102 is semiconducting or insulating, it behaves as a dielectric between the two plates of this capacitor. If the library member 102 is conducting, the electrical contact between the composite essentially makes the library sample 102 the first plate of the capacitor. Variation in the pressure across the composite produces changes in its shape that in turn lead to changes in the capacitance of the system. The changes in capacitance are detected by art disclosed techniques.

One preferred resistance method generally involves having a substrate 116 that exhibits changes in electrical resistance as a function of displacement. Examples of a suitable substrate 116 include, without limitation, polyimide, poly(ethylene terephthalate), a piezoresistive material, and the like. Measurements of the resistance of each library member 102 and its respective substrate 116 are preferably used to determine the displacement of the library member 102. In an alternative preferred embodiment, the substrate(s) 116 are diaphragm strain gages. Each diaphragm strain gage include two strain gages generally constructed out of two polyimide films enclosing a piezoresistive wire path made of stainless steel foil. Variations in the pressure across the substrate 116 lead to displacement of the substrate with its respective library member 102, which implies changes in the dimensions and resistance of the piezoresistive material.

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5       The tunneling method generally involves having the substrate 116 with a conductive backing and a conducting electrode in the form of a sharp tip placed sufficiently close to the substrate 116 and its respective library member 102 so that when a known voltage is applied, electrons can tunnel from the tip to the substrate 116 or vice versa, depending on the sign of the voltage, resulting in a measurable current. This current depends exponentially on the tip and the substrate 116 separation, making this tunneling system a very sensitive technique for measuring displacement.

10      The electromechanical switching method generally involves having a mechanical contact between each displaced library member 102 and an art disclosed electromechanical assembly to complete or break a circuit path, thereby signaling that the displacement of the library member 102 has reached a particular value. The electromechanical assembly 112 is preferred engineered so that the forces resulting from this contact are negligible in comparison to those associated with displacement of the library member.

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#### Dual Pressure Response Sensing Device

The dual pressure method generally involves securing each library member 102 between the vessel 104 and a second smaller vessel filled with a pressure transmission fluid and connected to a pressure sensor. The securing of each library member 102 between the two vessels can be accomplished in any number of ways such as mechanically, magnetically, electromagnetically, electromechanically, chemically or a combination thereof. Examples of suitable pressure transmission fluid and pressure sensor 126 are the same as described above. The displacement of the library member 102 is generally calculated based upon the volume enclosed by the second smaller vessel and the pressure measured by the pressure sensor.

25      30      35      The screening using the bulge test instrument

In a preferred method of the present invention for high throughput mechanical property screening, the library of materials 102 are removably secured across the openings 106 of the vessel 104 so part of each library member 102 is suspended over its respective opening 106. Force is then applied by the FAS 110 to the library

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- 5 through the FAS components – the pressure varying device 111 applying pressure to the transmission fluid, which transmits it to the library members 102. The applied pressure can vary (e.g., monotonically, sinusoidally, discontinuously or impulse like, etc.). The response (i.e., displacement) of each library member 102 to the pressure is monitored by its respective response sensing device 112 and used to determine  
 10 the mechanical properties of the library member 102 based upon art disclosed analytical models. See, e.g., W.C. Young, *Roark's Formulas for Stress and Stain*, 1989; S. Timoshenko, *Theory of Plates and Shells*, McGraw-Hill, New York 1940.  
 For example, when the pressure is applied across the library member 102 increases or decreases monotonically causing displacement of such library member 102, the  
 15 displacement can be used to measure the flexure rigidity  $D$  of the library member 102 as shown here:

$$w = \frac{Pa^4}{64D}$$

- where  $w$  is the library member's 102 center displacement,  $P$  is the applied pressure, and  $a$  is the library member's 102 radius. With the flexure rigidity value, the library  
 20 member's Young's modulus  $E$  can also be measured:

$$E = \frac{12D(1-\nu^2)}{h^3}$$

- where  $h$  is the library member's thickness and  $\nu$  is Poisson's ratio. Poisson's ratio may be the same for all members across the library 102 if they are all derived from the same or similar materials. Small variation in Poisson's ratio value across the  
 25 library does not affect the result significantly as it is generally less than 0.5 and its square is much less than 1 (e.g., 0.3 for latexes). Once the Young's modulus of each library member 102 is known, other mechanical properties of the library member 102 such as uniaxial extension, biaxial compression, shear, glass transition temperature, melting point, toughness, stress and stain at failure, and others may  
 30 also be derived using art disclosed techniques and analytical models. The mechanical properties that are preferably measured using the bulge test instrument 100 in accordance with the present invention include each library member's complex spectra of flexural rigidity and its complex spectra of Young's modulus within DC-1000Hz frequency range and -100 °C to +200 °C temperature range.

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### Capacitive Pull-In Instrument

A capacitive pull-in instrument 200 is a preferred instrument of the present invention to measure mechanical properties of a library of materials 202. Referring to Fig. 6, the capacitive pull-in instrument 200 is generally comprised of a plurality of 10 capacitors 204 with each capacitor 204 having a first structure 206 and a second structure 208, wherein both structures (206, 208) are conductors of known Young's modulus and have dimensions that are electrically insulated from one another; at least one FAS 210; and at least one response sensing device 212. To help make the capacitive pull-in instrument more robust, it is preferred that each library member 15 202 has its own FAS 210 and/or response sensing device 212.

It is preferred that the first structure 206 and the second structures 208 are planar structures. However, structures of other shapes (e.g., circular, cured, bended, angular, or others) can also be used to form the two structures (206, 208). The structures (206, 208) preferably include a means of making electrical connections to each other in order to place a voltage across them. An example of 20 such means of making electrical connections is metal contact points (e.g., electrodes) 214 placed on the structures (206, 208) by art disclosed techniques (e.g., vapor deposition). The structures (206, 208) are preferably separated from one another by at least one region 216, which may optionally be filled with a dielectric fluid (not shown). The structures (206, 208), in the absence of an applied voltage, 25 are preferably maintained at a fixed distance by a suitable structure, force (e.g., magnetic) or the like 214. In a preferred embodiment, the structures (206, 208) are planar and they are maintained at a fixed distance by at least one spacer 218 attached to and positioned between them. The spacer 218 is preferably located at 30 the edge of the structures (206, 208) so as to form a cantilever or other suspended structure, as shown in Fig. 6. Alternatively, the spacer 218 may be positioned at the center of the structures (206, 208). Another alternative is extending the spacer 218 around the perimeter of the structures (206, 208), which provides an additional advantage of preventing the individual library members from flowing or otherwise 35 moving into the regions 216 between the structures (206, 208). Such overflow may

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- 5 prevent the desired occurrence of capacitive pull-in. The spacer 218 is preferably constructed of an insulating material (e.g., polyimide).

At least one FAS 210 varies the voltage applied to the capacitors 204. Examples of suitable FAS 210 include, without limitation, a variable voltage power supply, a combination of a programmable constant current source for charging the capacitor and a voltmeter for measuring the voltage difference across the capacitor, and the like. At least one response sensing device 212 is required to monitor the responses of the capacitors 204 and the library members 202 to the applied voltage. Examples of suitable response sensing devices 212 include, without limitation, the response sensing devices described above for the bulge test instrument 100 relating to optical reflectance, optical interferometry, shadow illumination, capacitance (using a high-frequency voltage signal superimposed on the DC voltage signal to measure the capacitance of the instrument 200), resistance (using a strain gage attached to one of the structures (206, 208), and electromechanical switching. Another preferred response sensing device 212 is to incorporate it into the structures (206, 208) by constructing one region on each of the planar structures from a semiconducting material (e.g., a wafer sensor). The region of the first structure 206 is p-doped and the region on the second structure 208 is n-doped or vice versa. Upon capacitive pull-in, these regions are brought into contact forming a diode across each of the capacitors 204 and resulting in a discontinuous drop in the voltage across each of the capacitors 204. For clarity purpose, Fig. 6 only shows one response sensing device 212, but the present invention is not limited to having one response sensing device 212. For example, for some parallel embodiments, the instrument 200 may have numerous response sensing devices 212 up to, and even beyond, the number of members in the library 202. It is also acceptable to less number of response sensing devices 112 compared to the number of members in the library 102. Alternatively, the response sensing device 212 can be configured to translate in an x-y direction to measure the response of each library member 202 to the applied force one at a time in a rapid serial fashion.

It is preferred that the plurality of capacitors 204 are assembled in a monolithic unit using semiconductor fabrication techniques in which they are made from a single wafer as shown in FIG. 6. In an alternative preferred embodiment, the

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5      plurality of capacitors 204 are assembled from physically separate components such  
as forming the structures (206, 208) from two separate wafers and the spacers 218  
are produced by depositing insulating materials on selected regions of one or both  
wafers. An example of this alternative is using a disposable, flexible substrate such  
as polyimide on which metal has been deposited on one side in selected regions to  
10     produce a plurality of the first structures 206 and associated electrical contact points  
210. The other side of this flexible substrate is coated with an adhesive to facilitate  
attachment to the spacers 218. To complete the plurality of capacitors 204, an  
identical flexible substrate, with selective deposition of metals or other conducting  
materials to act as electrical contact points 210, serves as the second planar  
15     structures 208 is also attached to the spacers 218. Alternatively, the second planar  
structures 208 may be constructed of a rigid material (e.g., silicon wafer) with  
appropriately positioned conducting and insulting regions. After the mechanical  
property screening, the flexible substrates forming the plurality of capacitors 204 may  
be removed from the instrument 200 and discarded, eliminating the need to clean  
20     the instrument 200 between screenings.

#### **Screening Using the Capacitive Pull-In Instrument**

In a preferred method of using the capacitive pull-in instrument 200 to measure mechanical properties of the library of materials 202, a voltage is applied by at least one FAS 210 across the capacitors 204 causing electric charges of opposite  
25     sign to accumulate on the planar structures (206, 208) resulting in applying one or more forces on each of them (206, 208). The one or more forces cause a displacement of the structures (208, 210), which increases the capacitance of the capacitors 204 resulting in a slight increase in the quantity of accumulated electrical charge on the structures (206, 208). The increase in the quantity of accumulated  
30     electrical charge on the structures (206, 208) again causes more force to be applied on each of the structures (206, 208) resulting in additional displacement. The displacements can be expressed, for instance, as a geometric series. The voltage applied by the at least one FAS 210 can be non-oscillatory or oscillatory. For low voltages, the series typically converges to a finite value, which is considerably less  
35     than the separation of the structures (206, 208), and the instrument 200 is mechanically stable. However, for high voltages, the series may not converge, and

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5       the capacitors 204 become mechanically unstable causing the structures (206, 208) to draw together. The voltage at which this instability occurs (i.e., the pull-in voltage) depends on the shape and mechanical properties of the structures (206, 208). Accordingly, using art disclosed analytical models, a measurement of the pull-in voltage can be used to extract the mechanical properties of the structures (206, 208)

10      in the absence of any library member 202. See, e.g., P. M. Osterberg and S. D. Senturia, "M-TEST: A Test Chip for MEMS Material Property Measurement Using Electrostatically Actuated Test Structures," *Journal of Microelectromechanical Systems*, Vol. 6, No. 2, June 1997 and is incorporated herein by reference.

15      Thereafter, the library members are individually secured onto the first structures 206 as shown in Fig. 6. The securing of each library member 202 to its respective first structure 206 can be accomplished in any number of ways such as mechanically, magnetically, electromagnetically, electromechanically, chemically or a combination thereof. A voltage is again applied by at least one FAS 210 across the capacitors 204, the resulting pull-in voltage is measured again, and the ratio between the library

20      202 present and the library 202 absent values is determined and Young's modulus of each library member 202 can be calculated using art disclosed analytic models. Once the Young's modulus of each library member 202 is known, other mechanical properties of the library member 202 such as flexure, uniaxial extension, biaxial compression, shear, glass transition temperature, melting point, toughness, stress

25      and stain at failure, adhesion, and the like may also be derived using art disclosed techniques and analytical models. The mechanical properties that are preferably measured using the capacitive pull-in instrument 200 in accordance with the present invention include each library member's complex spectra of flexural rigidity and its complex spectra of Young's modulus within DC-1000Hz frequency range and -100

30      °C to +200 °C temperature range.

#### Piezoelectric Instrument

A piezoelectric instrument 300 is a preferred instrument of the present invention to measure mechanical properties of a library of materials 302. Referring to FIG. 7-9, the piezoelectric instrument 300 is generally comprised of a supporting frame 303 containing a plurality of piezoelectric benders 304 (illustrated as disk

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5 benders), each having a backing plate 306, an electrode 308, and an appropriately polarized ceramic disk 310; at least one FAS 312 that is preferably a variable voltage supply source; and at least one response sensing device 314. The backing plate 306 is preferably constructed out of a metal, more preferably, brass or stainless steel. The electrode 308 is preferably sintered, glued, fastened, or otherwise joined 10 to the backing plate 306.

The library members 302 are secured to the backing plates 306. The securing of each library member 302 to its respective backing plate 306 can be accomplished in any number of ways such as mechanically, magnetically, electromagnetically, electromechanically, chemically or a combination thereof. It is preferred that the library members 302 are directly deposited onto the backing plates. 15 Alternatively, a thin layer of coupling liquid can be used to secure the library members 302 to the backing plates 306.

Voltage is applied to each library member 302 via the voltage supply source 312 to the electrode 308 triggering a change in the diameter of the ceramic disk 310 and thereby causing the entire bender 304 to buckle as shown in Fig. 10. Referring 20 to Fig. 8, small part of the electrode 308 on the bender 304 can be separate out so that the stress field in the ceramic disk 310 is not considerably disturbed. This electrode 308 and a part of the bender 304 underneath can be used as the response sensing device 314. Other suitable response sensing devices 314 include, without limitation, the optical response sensing devices described above for the bulge test instrument 100 relating to optical reflectance, optical interferometry, and shadow illumination. For clarity purpose, Fig. 7 only shows one response sensing device 314, but the present invention is not limited to having one response sensing device 25 314. For example, for some parallel embodiments, the piezoelectric instrument 300 may have numerous response sensing devices 314 up to, and even beyond, the 30 number of members in the library 302. Alternatively, the response sensing device 314 can be configured to translate in an x-y direction to measure the response of each library member 302 to the applied force one at a time in a rapid serial fashion. To make the piezoelectric instrument 300 more robust, it is preferred that each 35 library member 302 has its own voltage supply source 312 and response sensing device 314.

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5 In an alternative preferred embodiment of the piezoelectric instrument 300, the benders 304 are replaced a plurality of piezoelectric elements each having with a sensor region and an actuator region. Alternatively, each piezoelectric element can be replaced by a separate piezoelectric sensor element and a separate piezoelectric actuator element connected to each other by a platform.

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#### Screening Using the Piezoelectric Instrument

In a preferred method of using the piezoelectric instrument 300 to measure mechanical properties of the library of materials, a voltage, preferably sinusoidally, is applied by at least one variable voltage supply source 312 to the benders 304 causing their ceramic disks 310 to generate a stress field across each library member 302. The resulting strain in each library member 302 is measured by its respective response sensing device 314. Using art disclosed analytic models, the Young's modulus of each bender 304, without the library present, is obtained. Thereafter, the library members 302 are secured onto the backing plates 306 of the benders 304 and another voltage is applied by the at least one variable voltage supply source 312 at variable frequency to the benders 304 resulting in the application of sinusoidal pressure to the library 302 and the benders 304. Voltage across the response sensing devices 314 is proportional to each library member's displacement and can be used to calculate the Young's modulus of each library member 302 based upon art disclosed analytical models. Once the Young's modulus of each library member 302 is known, other mechanical properties of the library member 202 such as flexure, uniaxial extension, biaxial compression, shear, glass transition temperature, melting point, toughness, stress and stain at failure, or others may also be derived using art disclosed techniques and analytical models.

20 The mechanical properties that are preferably measured using the piezoelectric instrument 300 in accordance with the present invention include each library member's complex spectra of flexural rigidity and its complex spectra of Young's modulus within DC-1000Hz frequency range and -100 °C to +200 °C temperature range.

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**5 Environmental Control Device**

Since the mechanical properties of materials can depend strongly on environmental conditions—temperature, pressure, ambient gas composition (including humidity), electric and magnetic field strength, and so on—the screening instruments discussed above may include a control system for regulating 10 environmental conditions as shown in Fig. 11. Useful control systems include an environmental chamber that encloses the sample, the sample holder, and the FAS. The system may also uses computer software to regulate conditions in the environmental chamber. As discussed below, the system may locate the response sensing device outside of the environmental chamber if their performance is strongly influenced by any of the environmental control variables, such as temperature. Measurements may be performed as a function of the value of one or more of these 15 quantities, or may be performed as a function of time elapsed after a change in the value of one or more of these quantities. The means by which these changes may be produced are described in detailed in the commonly owned U.S. Patent No. 20 6,157,449 and U.S. Patent Application Serial No. 09,579,338 titled "Rheo-Optical indexer and Method of Screening and Characterizing Arrays of Materials", filed on May 25, 2000 (Carlson, et al.), which is incorporated herein by reference for all purposes.

**25 Screening Throughput**

The instruments described above in accordance with the present invention can screen a library having 2 or more material samples, and preferably, at least 8 samples to ensure adequate screening throughput. Those of skill in the art will appreciate that lower or higher throughput may serve the needs of a particular 30 application of this invention. Thus, 4 or more, 8 or more, 16 or more, 24 or more, or 48 or more FAS and/or response sensing devices in parallel are within the scope of this invention.

For methods directed to characterizing a plurality of samples, a property of each of the samples or of one or more components thereof is detected--serially or in 35 a parallel, serial-parallel or hybrid parallel-serial manner--at an average sample throughput of not more than about 10 minutes per sample. As used in connection

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5 herewith, the term "average sample throughput" refers to the sample-number  
normalized total (cumulative) period of time required to detect a property of two or  
more samples with a characterization system. The total, cumulative time period is  
delineated from the initiation of the characterization process for the first sample, to  
the detection of a property of the last sample or of a component thereof, and  
10 includes any intervening between-sample pauses in the process. The sample  
throughput is more preferably not more than about 8 minutes per sample, even more  
preferably not more than about 4 minutes per sample and still more preferably not  
more than about 2 minutes per sample. Depending on the quality resolution of the  
characterizing information required, the average sample throughput can be not more  
15 than about 1 minute per sample, and if desired, not more than about 30 seconds per  
sample, not more than about 20 seconds per sample or not more than about 10  
seconds per sample, and in some applications, not more than about 5 seconds per  
sample and not more than about 1 second per sample. Sample-throughput values of  
less than 4 minutes, less than 2 minutes, less than 1 minute, less than 30 seconds,  
20 less than 20 seconds and less than 10 seconds are demonstrated in the examples.  
The average sample-throughput preferably ranges from about 10 minutes per  
sample to about 10 seconds per sample, more preferably from about 8 minutes per  
sample to about 10 seconds per sample, even more preferably from about 4 minutes  
per sample to about 10 seconds per sample and, in some applications, most  
25 preferably from about 2 minutes per sample to about 10 seconds per sample.

As for screening throughput for parallel embodiments, up to 96 library  
members may have their mechanical properties measured simultaneously in about  
10 minutes or less, preferably about 5 minutes or less and even more preferably in  
about 1 minute or less. In some parallel embodiments, screening throughput of even  
30 about 30 seconds or less may be accomplished for an array of the sizes discussed  
herein, e.g., up to 96 samples or members in the array.

A sample-throughput of 10 minutes per sample or less is important for a  
number of reasons. Systems that detect a property of a sample or of a component  
thereof at the aforementioned sample throughput rates can be employed effectively  
35 in a combinatorial research program. From a completely practical point of view, the  
characterization rates are also roughly commensurate with reasonably-scaled

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5 polymer sample library synthesis rates. It is generally desirable that combinatorial screening systems, such as the polymer characterization protocols disclosed herein, operate with roughly the same sample throughput as combinatorial synthesis protocols--to prevent a backlog of uncharacterized polymerization product samples. Hence, because moderate scale polymer-synthesis systems, such as the Discovery  
10 Tools™ PPR-48™ (Symyx Technologies, Santa Clara Calif.), can readily prepare polymer libraries with a sample-throughput of about 100 polymer samples per day, a screening throughput of about 10 minutes per sample or faster is desirable. Higher throughput synthesis systems demand higher characterization throughputs. The preferred higher throughput values are also important with respect to process control applications, to provide near-real time control data.  
15

Additionally, as shown in connection with the examples provided herein, the characterization of polymer samples at such throughputs can offer sufficiently rigorous quality of data, to be useful for scientifically meaningful exploration of the material compositional and/or reaction conditions research space.

20

#### Other Screens

The present invention may be employed by itself or in combination with other screening protocols for the analysis of liquids or their constituents. Without limitation, examples of such screening techniques include those addressed in 25 commonly-owned U.S. Patent Nos. 6,182,499 (McFarland, et al); 6,175,409 B1 (Nielsen, et al); 6,157,449 (Hajduk, et al); 6,151,123 (Nielsen); 6,034,775 (McFarland, et al); 5,959,297 (Weinberg, et al), 5,776,359 (Schultz, et al.), commonly owned and co-pending U.S. Patent Application Serial No. 09/580,024 titled "Instrument for High Throughput Measurement of Material Physical Properties and 30 Method of Using Same," filed on May 26, 2000, all of which are hereby expressly incorporated by reference herein.

Screening techniques may also include (without limitation) optical screening, infrared screening, electrochemical screening, flow characterization (e.g., gas, liquid or gel-phase chromatography), spectrometry, crystallography, or the like.

35 It will be appreciated from the above that many alternative embodiments exist for high throughput mechanical property screening within the scope of the present

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5 invention. Accordingly, the instruments and methods discussed above are to be considered exemplary and nonlimiting as to the scope of the invention.

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## WHAT IS CLAIMED IS:

1. A method for screening an array of materials for mechanical properties, comprising:
  - 10 providing a mounting member to which a library of at least four different material samples is removably secured for screening;
  - providing at least one source selected from the group consisting of a fluid, a voltage, a piezoelectric and a combination thereof for delivering one or more forces to each of said samples in said library;
  - 15 directing said one or more forces from said source to each of said samples in said library; and
  - monitoring a response of each of said samples to said one or more forces with at least one response sensing device.
- 20 2. The method of Claim 1, wherein the method screens at least two of said samples of said library simultaneously.
3. The method of Claim 1, wherein the method screens at least twenty-four of said samples of said library simultaneously.
- 25 4. The method of any of Claims 1-3, wherein said force is applied to each of said samples in sequential order and screening throughput rate is no greater than 10 minutes per said sample.
- 30 5. The method of any of Claims 1-4, wherein said mechanical properties are selected from a group consisting of flexure, uniaxial extension, biaxial compression, shear, stress and strain at failure, toughness, storage modulus, loss modulus, and mixtures thereof.
- 35 6. The method of any of Claims 1-5, further comprising of regulating environmental conditions of said samples..

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7. The method of any of Claims 1-6, wherein said samples are secured on said mounting member by means selected from a group consisting of mechanical, magnetic, electromagnetic, electromechanic, chemical, and a combination thereof.

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8. The method of any of Claims 1-7, wherein each of said samples is has an area of less than about 100 mm<sup>2</sup>.

15

9. The method of any of Claims 1-8, wherein each of said sample has a thickness of less than about 500 microns.

20

10. The method of any of Claims 1-9, wherein said source is a fluid; and said directing said one or more forces from said source is achieved by directing one or more forces to said fluid by a second force source resulting in said fluid directing said one or more forces in the form of pressure to each of said samples causing each of said samples to displace in response from said pressure.

25

11. The method of Claim 10, said mounting member is a substantially gas-tight vessel having a plurality of openings to which said library of at least four different material samples is removably secured across said openings for screening.

30

12. The method of Claim 10 or 11, wherein said at least one response sensing device is selected from a group consisting of an electronic pressure sensor, an optical response sensing device selected from a group consisting of optical reflectance, optical interferometry, shadow illumination, and a combination thereof, an electrical response sensing device selected from a group consisting of capacitance, resistance, tunneling, electromechanical switching, and a combination thereof, a dual pressure sensing device, and a combination thereof.

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13. The method of any of Claims 10-12, wherein said second force source is selected from a group consisting of a piston in a cylinder, a temperature controller for varying the temperature of said fluid, a heat transfer device selected from the

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5 group consisting of a resistance heater, a liquid-liquid heat exchanger that is connected to a reservoir of exchange fluid, a liquid-gas heat exchanger that is connected to a reservoir of exchange fluid and a combination thereof, and a combination thereof.

10 14. The method of any of Claims 10-13, wherein two of said fluids are both used to direct said pressure to one of said samples, said two fluids are mutually immiscible and separation between said two fluids is maintained by means selected from a group consisting of gravity, surface tension, and a mixture thereof.

15 15. The method of any of Claims 10-14, wherein said pressure applied to each of said samples is selected from a group consisting of monotonic, sinusoidal, discontinuous, and a combination thereof.

16. The method of any of Claims 10-15, wherein said pressure applied to  
20 each of said samples is a negative pressure.

17. The method of any of claims 1 to 9, wherein:  
said mounting member is said plurality of capacitors wherein each of said samples corresponds with one of said capacitors;  
25 said source is a plurality of capacitors each having two conductors with a known mechanical property and are electrically insulated from each other; and said directing one or more forces from said source is achieved by applying a voltage to said conductors.

30 18. The method of Claim 17, wherein said voltage is selected from a group consisting of oscillatory, non-oscillatory, and a combination thereof.

19. The method of Claim 17 or 18, wherein said at least one response sensing device is selected from a group consisting of an optical response sensing  
35 device selected from a group consisting of optical reflectance, optical interferometry, shadow illumination, and a combination thereof; an electrical response sensing

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- 5 device selected from a group consisting of capacitance, resistance, electromechanical switching, and a combination thereof; a wafer sensor, and a combination thereof.

20. The method of any of Claims 17-19, wherein said voltage is supplied  
10 by a voltage supplier selected from a group consisting of a variable voltage power supply, a programmable constant current source and a voltmeter, and a combination thereof.

21. The method of any of Claims 1-9, wherein:  
15 said source is a supporting member containing a plurality of piezoelectric elements each having a sensor region, an actuator region, and an electrode; said mounting member is said plurality of piezoelectric elements and each of said samples corresponds with one of said piezoelectric elements; said directing said one or more forces from said source is achieved by  
20 applying a voltage to each of said piezoelectric elements; and said samples in said library are selected from a group consisting of solids, semi-solids, high viscosity fluids, and a combination thereof.

22. The method of Claim 21, wherein said at least one response sensing  
25 device is selected from a group consisting of an optical response sensing device selected from a group consisting of optical reflectance, optical interferometry, shadow illumination, and a combination thereof; a piezoelectric sensing device comprising of said electrode and said sensor region, and a combination thereof.

30 23. The method of Claim 21 or 22, wherein said sensor region and said actuator region are separate structures connected to each other by a platform.

24. The method of Claim 21, wherein each of said piezoelectric elements comprise a bender having a backing plate, an appropriately polarized ceramic disk  
35 attached to said backing plate, and said electrode secured to said backing plate.

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- 5        25. The method of Claim 1, wherein:  
          said source is a fluid;  
          said directing said one or more forces from said source is achieved by  
          directing one or more forces to said fluid by a second force source resulting in said  
          fluid directing said one or more forces in the form of pressure to each of said  
10      samples causing each of said samples to displace in response from said pressure;  
          said mounting member is a substantially gas-tight vessel having a plurality of  
          openings to which said library of at least four different material samples is removably  
          secured across said openings for screening;  
          said second force source is selected from the group consisting of a piston in a  
15      cylinder, a temperature controller for varying the temperature of said fluid, a heat  
          transfer device selected from the group consisting of a resistance heater, a liquid-  
          liquid heat exchanger that is connected to a reservoir of exchange fluid, a liquid-gas  
          heat exchanger that is connected to a reservoir of exchange fluid and a combination  
          thereof, and a combination thereof;  
20      said at least one response sensing device is selected from a group consisting  
          of an electronic pressure sensor, an optical response sensing device selected from a  
          group consisting of optical reflectance, optical interferometry, shadow illumination,  
          and a combination thereof, an electrical response sensing device selected from a  
          group consisting of capacitance, resistance, tunneling, electromechanical switching,  
25      and a combination thereof, a dual pressure sensing device, and a combination  
          thereof.

26. The method of Claim 1, wherein:  
          said mounting member is said plurality of capacitors wherein each of said  
30      samples corresponds with one of said capacitors;  
          said source is a plurality of capacitors each having two conductors with a  
          known mechanical property and are electrically insulated from each other;  
          said directing one or more forces from said source is achieved by applying a  
          voltage to said conductors;  
35      said voltage is selected from a group consisting of oscillatory, non-oscillatory,  
          and a combination thereof;

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5        said voltage is supplied by a voltage supplier selected from a group consisting of a variable voltage power supply, a programmable constant current source and a voltmeter, and a combination thereof; and

10      said at least one response sensing device is selected from a group consisting of an optical response sensing device selected from a group consisting of optical reflectance, optical interferometry, shadow illumination, and a combination thereof; an electrical response sensing device selected from a group consisting of capacitance, resistance, electromechanical switching, and a combination thereof; a wafer sensor, and a combination thereof.

15      27.     The method of Claim 1, wherein:

      said source is a supporting member containing a plurality of piezoelectric elements each having a sensor region, an actuator region, and an electrode;

      said mounting member is said plurality of piezoelectric elements and each of said samples corresponds with one of said piezoelectric elements;

20      said directing said one or more forces from said source is achieved by applying a voltage to each of said piezoelectric elements;

      said samples in said library are selected from a group consisting of solids, semi-solids, high viscosity fluids, and a combination thereof;

25      said at least one response sensing device is selected from a group consisting of an optical response sensing device selected from a group consisting of optical reflectance, optical interferometry, shadow illumination, and a combination thereof; a piezoelectric sensing device comprising of said electrode and said sensor region, and a combination thereof.

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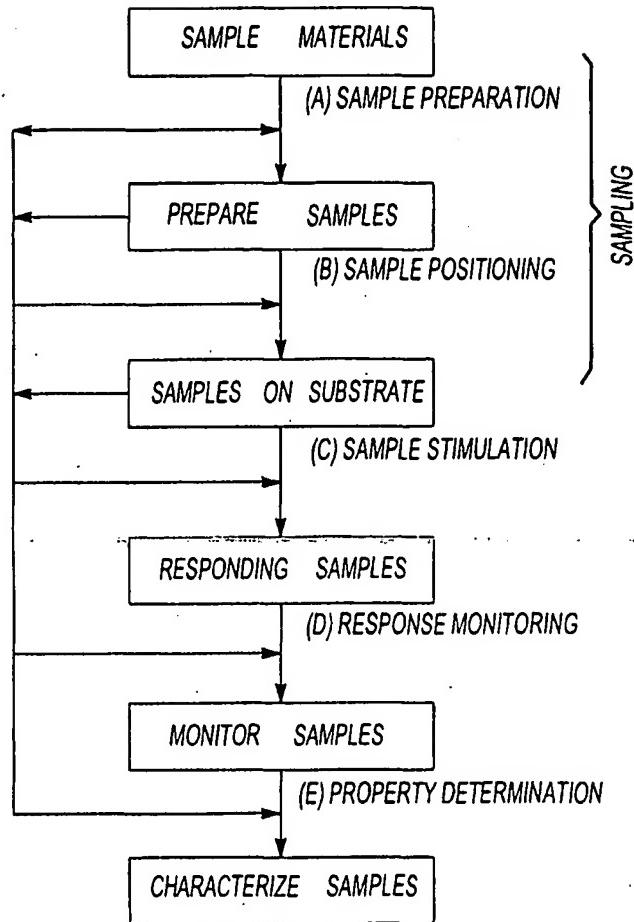


Fig-1

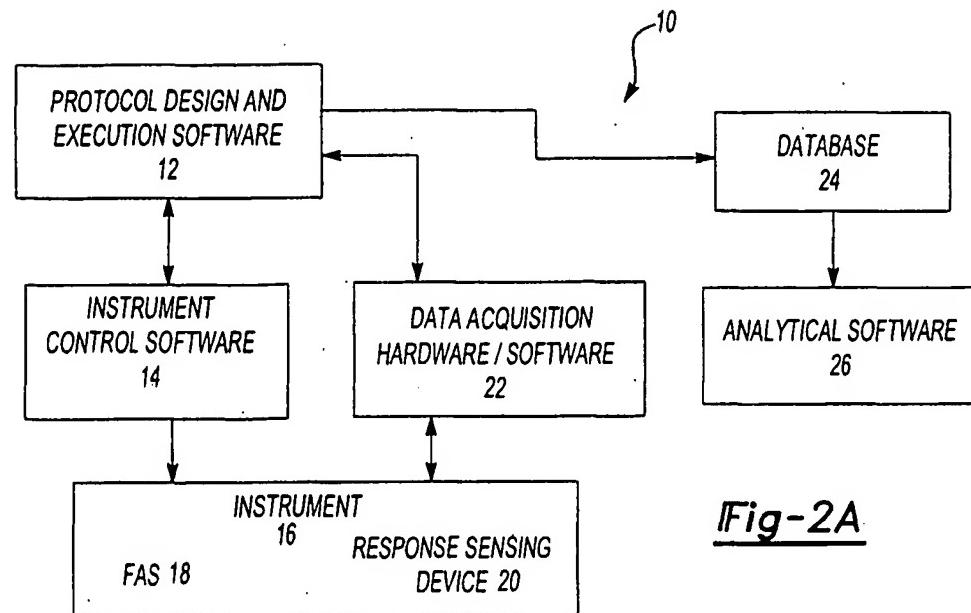


Fig-2A

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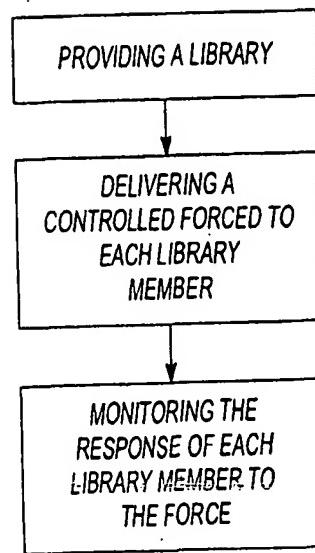


Fig-2B

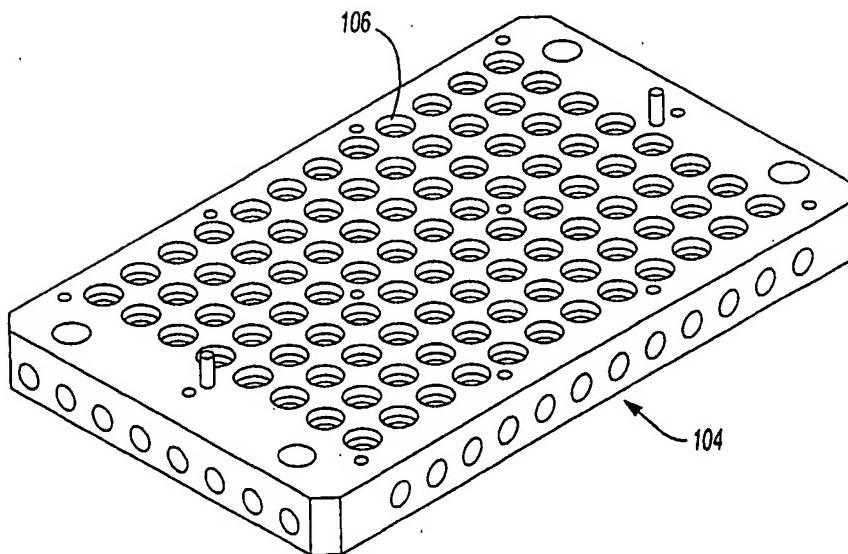
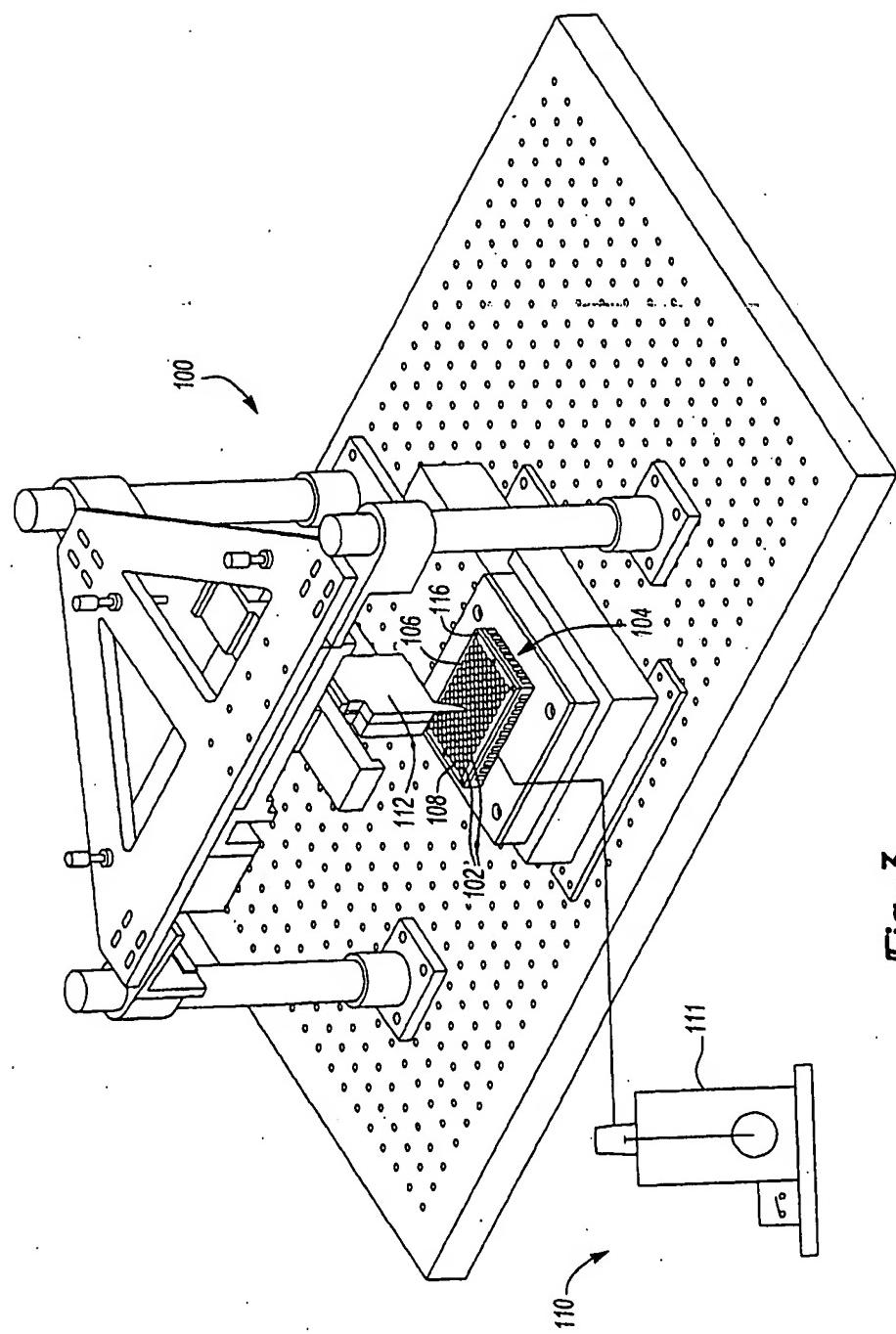


Fig-4

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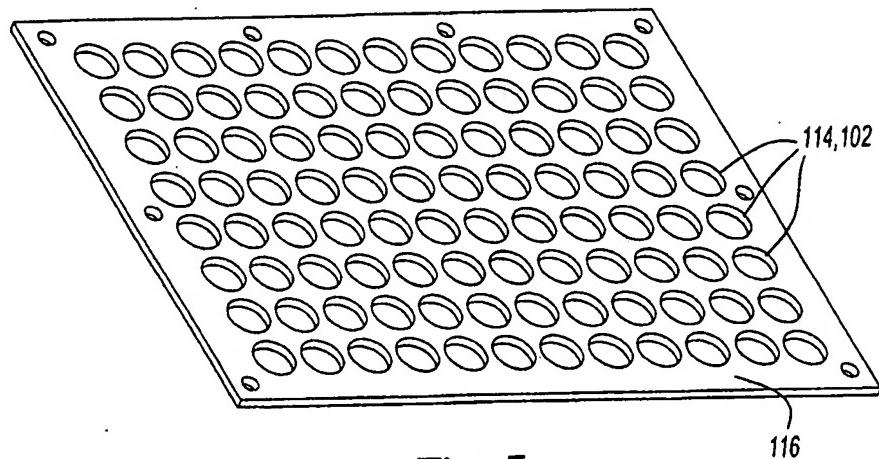
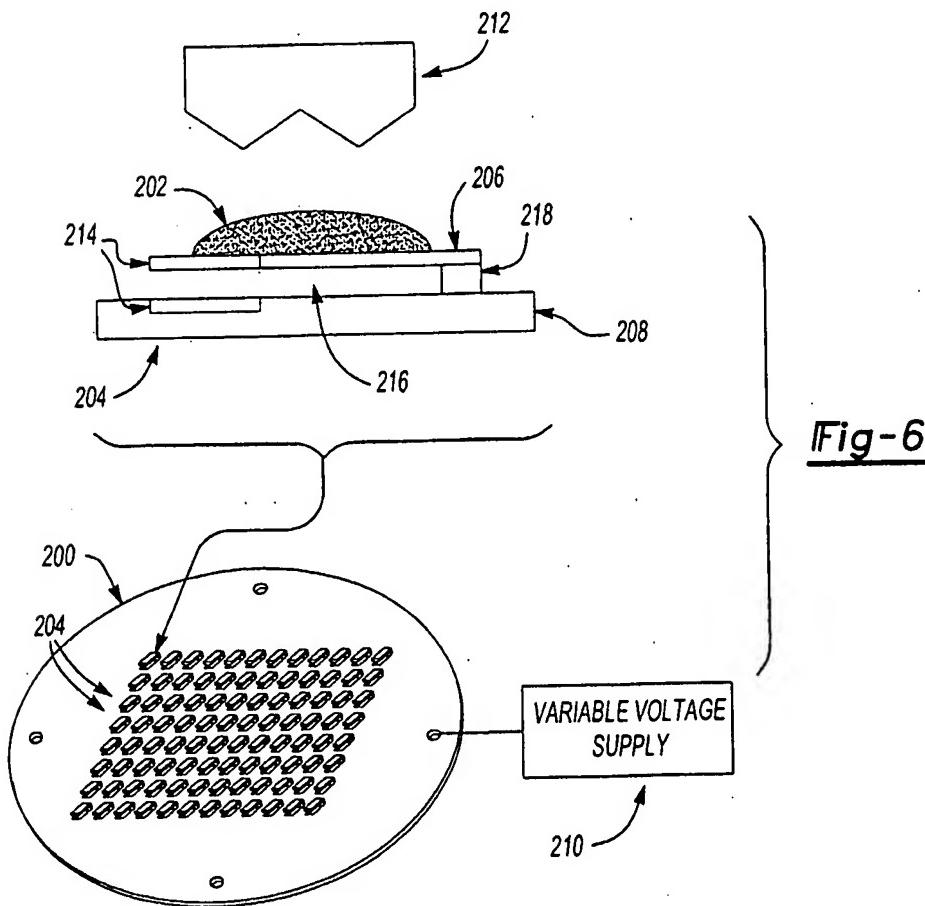


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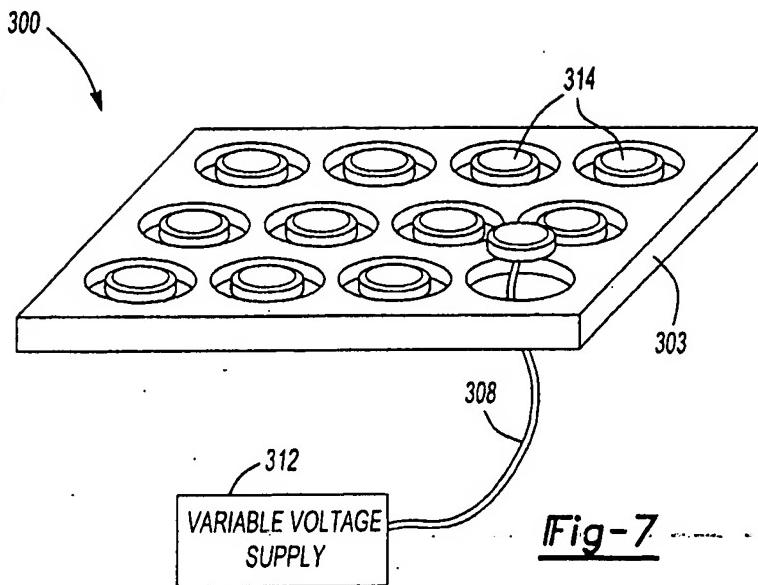
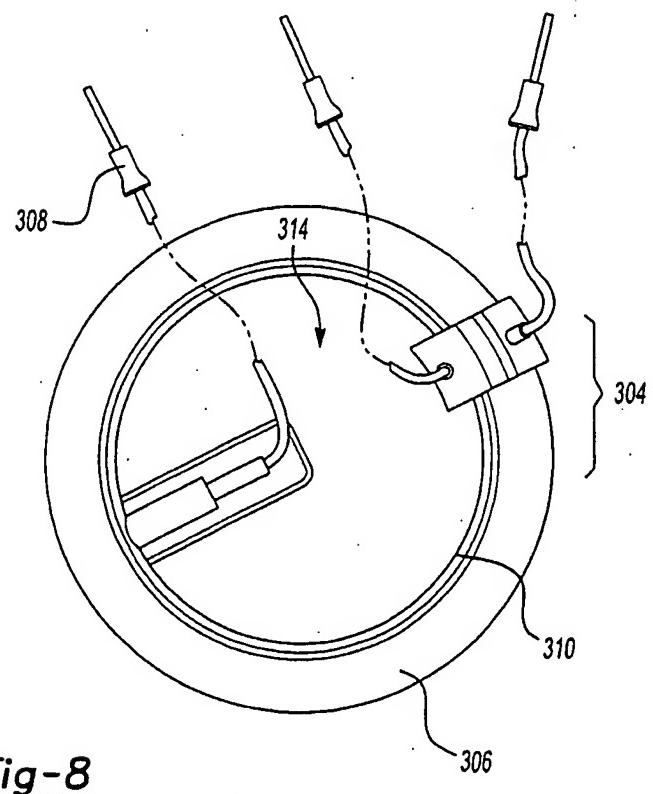
Fig-5Fig-6

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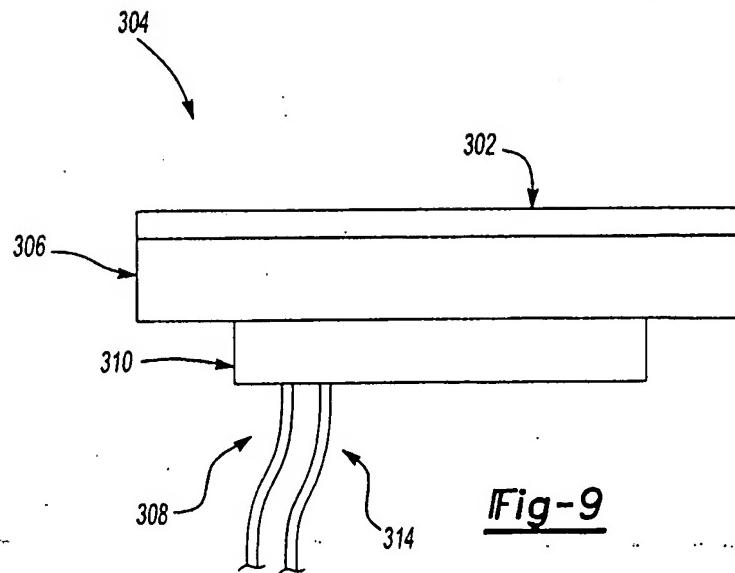
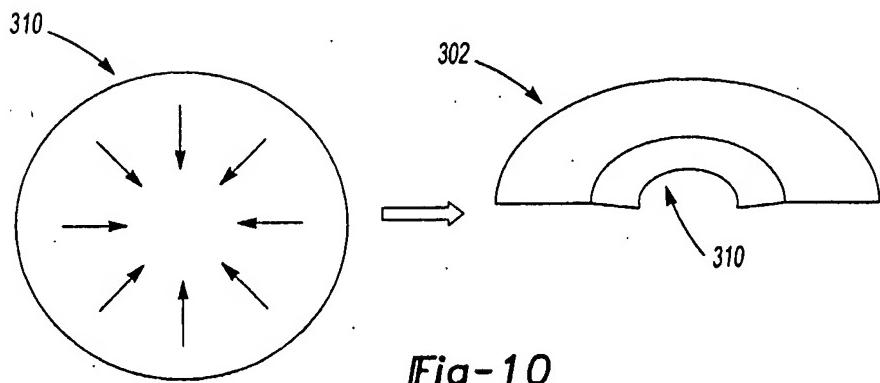
Fig-7Fig-8

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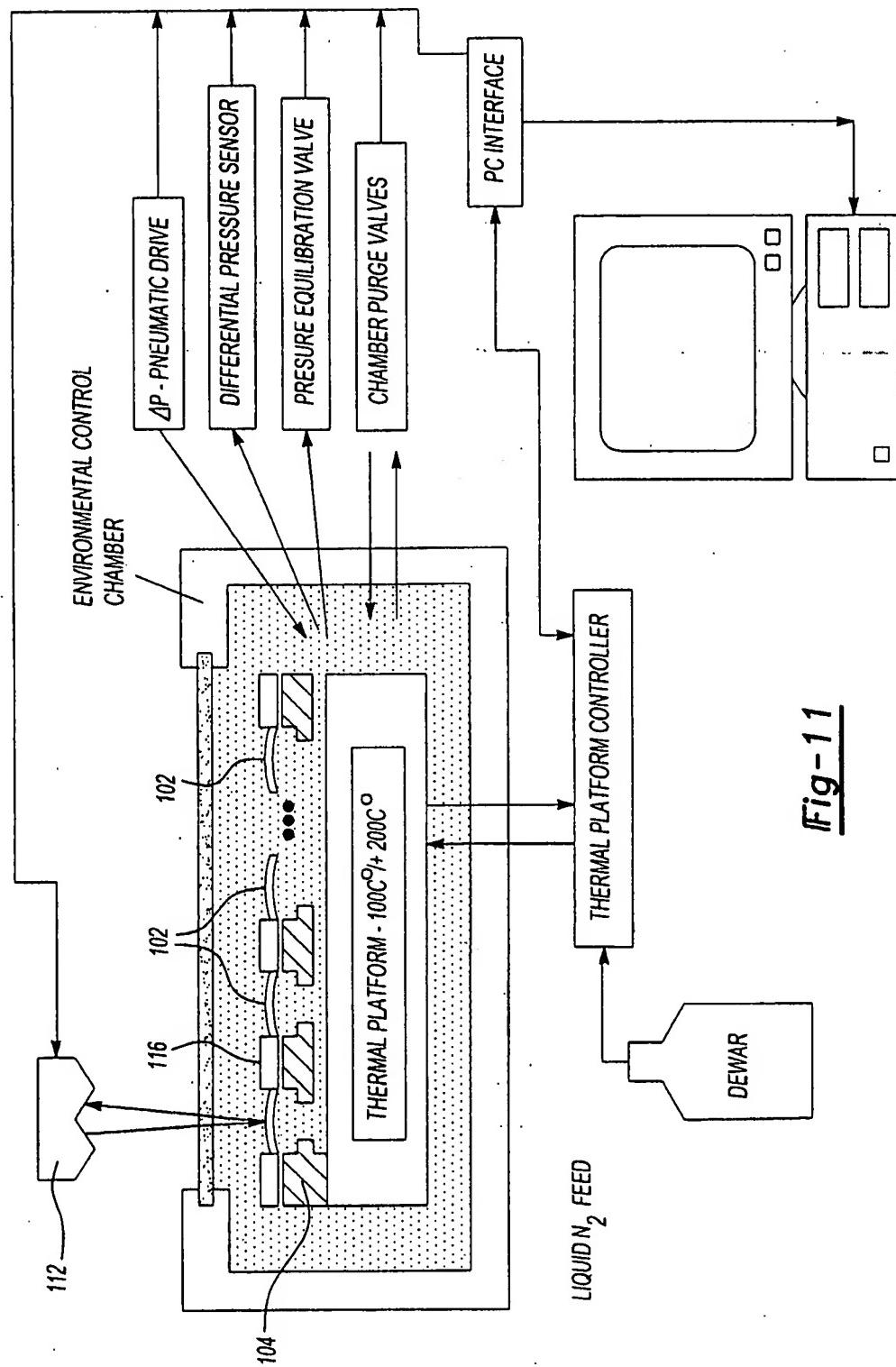
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Fig-9Fig-10

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Fig-11

## INTERNATIONAL SEARCH REPORT

Int. Application No  
PCT/US 02/26112

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 G01N3/10 G01N3/36

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

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## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 050 138 A (CORREIA PAULO JORGE FURTADO ET AL) 18 April 2000 (2000-04-18) figure 11 ---	1-27
X	US 6 157 449 A (HAJDUK DAMIAN A) 5 December 2000 (2000-12-05) page 19-22; claims 88-90; figure 9 ---	1-27
X	WO 97 16699 A (UNIV BOSTON) 9 May 1997 (1997-05-09) page 32-37; figures 1,2 ---	1-27
X	US 6 167 748 B1 (BRYAN WILLIAM L ET AL) 2 January 2001 (2001-01-02) column 3-4; claim 10; figures 1,1A ---	1-27 -/-

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	WO 00 36410 A (BENNETT JAMES ;MANSKY PAUL (US); SYMYX TECHNOLOGIES INC (US)) 22 June 2000 (2000-06-22) figures 17B-17B -----	1-27
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Dear Sir,

Singapore Patent Application No.: 200602718-9  
Title of invention: HIGH THROUGPUT PREPARATION OF LUBRICATING OIL COMPOSITIONS  
FOR COMBINATORIAL LIBRARIES  
Applicant(s): CHEVRON ORONITE COMPANY LLC (CA, US)

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You are invited to respond to the opinion by submitting:

- (a) Written submissions or arguments disagreeing with the Examiner's opinion and/or
- (b) An amendment of the specification of the application.

If you intend to respond, the response must be filed within 5 months from the date of this letter. You are also advised to inform us early if you do not intend to respond.

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Thank you.

Yours faithfully,

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for REGISTRAR OF PATENTS  
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